

APPENDICES OF STASI DOCUMENTS

from

Vladimir Putin, Operation LUCH and Matthias Warnig: The Secret KGB-Stasi Relationship

by Sarah-Christian Müller and Dr. Karen Dawisha

These documents from the Stasi archives were collected in the summer of 2013 and translated from the original German by Sarah-Christin Müller (Miami University, B.A. 2014) as part of the joint research project with Dr. Karen Dawisha, Miami University, entitled *Vladimir Putin, Operation LUCH and Matthias Warnig: The Secret KGB-Stasi Relationship*.

Miami University, 2014

6 Appendices analyzed and translated by Sarah-Christin Mueller

Documents translated from the Stasi files:

1. Zuchold's file in the Stasi archive confirms his employment by the Stasi in Dresden during the years Putin was there. His Personnel Card ("Kaderkarteikarte") confirms that he was sworn into the Stasi on 29 August 1975 and assigned to work in Dresden (Zuchold-Personnel Card n.d.).
2. Matthias Warnig's alias "Hans-Detleff's statement of commitment. *Verpflichtungserklärung* created by the Stasi, 02 February [19]74. Senftenberg: BStU MfS AIM 6367/75 Teil 1, p.000010, [19]74.
3. Notation in Matthias Warnig's (aka Hans-Detleff) file by the Stasi confirming that Matthias Warnig was contacted by the Stasi and then hired full time thus resigning his previous occupation as an informer, *Aktenvermerk in Matthias Warnig's Akte bezüglich des Vorschlages zur Verpflichtung eines IM*. BStU MfS AIM 6367/75 Teil 1, p. 000040. October 08, 1974
4. Notation to Matthias Warnig's alias "Hans-Detleff's file. *Aktenvermerk zu Matthias Warnig von Oberstleutnant Halla*. BStU MfS AIM 6367/75 Teil 1, 42, November 27 1974.
5. Letter confirming that the IM Matthias Warnig was hired by the Stasi as a full time employee. *Bestätigender Brief, dass Matthias Warnig am 26. März 1975 offiziell von der Stasi eingestellt wurde*. BStU MfS AIM 6367/75 Teil 1, p. 000050. March 26, 1975
6. Warnig-Personnel Card. *Kaderkarteikarte Warnig, Matthias, geb. 26.09.1955. Bestätigung seiner Entwicklung vom IMS, zum Leutnant, zum Oberstleutnant und später zum Hauptmann*. Kaderkarteikarte, Stasi Archive, BStU, MfS KKK Warnig, Matthias 26.09.1955.
7. Letter from Lt Colonel Riedel to Col. General Anders. *Brief von Oberstleutnant Riedel and Gen. Oberst Anders. Über eine Beziehung zwischen einem unabhängigen Handwerker und einem sowjetischen Offizier*. Dresden: BStU MfS BV Dresden 1. Stellvertretender d. LTR 3 page 000440, October 31, 1983.
8. Warnig's National People's Army medal of merit in silver. *Warnig's Verdienstmedaille der Nationalen Volksarmee in Silber*. Berlin: BStU, MfS-HA KuSch, Nr. 124, p. 000001, October 07, 1984.

9. Letter from Lt. Colonel Riedel to Colonel Gen.Anders. *Bitte um Unterstützung durch die sowjetische Militärabwehr.* Dresden: BStU MfS BV Dresden 1. Stellvertretender d. LTR 3 page 000439, January 29. 1985.
10. Letter From Lieutenant Colonel Androsov to Major General Boehm. *Hinweise zu in NATO-Objekten arbeitende Buerger der BRD.* Dresden: BStU MfS BV Dresden 1. Stellvertr. D. LTR 3 page 00035, April 16, 1986.
11. Warnig- Promotion from Lieutenant to Captain. *Befehl Nr. K 109/88 Matthias Warnig's Befoerderung vom Oberstleutnant zum Hauptmann.* Berlin: BStU, Zentralarchiv, MfS-Ha, KuSch, Nr. 891, p. 00261, February 08, 1988.
12. Putin National People's Army Medal in Bronze. *Befehl Nr. K 114/88 Putin Verdienstmedaille der Nationalen Volksarmee in Bronze.* Berlin: BStU HA KuSch 186 pages 000261 and 000296, February 08, 1988.
13. Birthdays of Soviet Comrades . *Geburtstage der sowjetischen Genossen einschliesslich Ehepartner .* Dresden: BStU, Archiv der Außenstelle Dresden, MfS BV Dresden, Abt.II, Nr. 10448, p.000001, December 22, 1988.
14. Letter from Major General Horst Böhm to Lt. Col. Androsov, about meetings of Soviet officers in a bar, Dresden. *Brief von Generalmajor Horst Böhm an Oberst Androsov bezüglich der Treffen sowjetischer Offiziere in einer Bar in Dresden.* **BStU MfS BV Dresden 1.Stellvertr. d.LTR. 3, pp. 000081-000082.** January 19, 1989.
15. Letter from Major General Böhm to service units. *Brief von Generalmajor Böhm an die Leiter der Dienstseinheiten bezüglich eines Bildes des gemeinsamen Besuches des Museums der 1.Gardepanzerarmee.* Dresden: BStU Archiv der Aussenstelle Dresden MfS BV Dresden AKG Nr. 10852, p.000001, January 24, 1989.
16. Letter from Major Kultsch to Colonel Anders. *Information zur Aussprache mit dem Genossen Maxim Samarin der sowjetischen Militäraufklärung, Dienststelle Dresden.* Kamenz: BStU MfS BV Dresden 1. Stellvertr. D. LTR3 page 000054, March 15, 1989.
17. Letter from Major General Böhm to Major General Shirokov, about the illegal recruitment among NVA reservist with radio training by an employee of the Soviet army Maxim Samarin, Dresden.*Brief von Generalmajor Böhm an Generalmajor Schirokow bezüglich der Anwerbung von NVA Reservisten mit Funkausbildung bei Maxim Samarin, in Dresden.***BStU MfS BV Dresden 1.Stellvertr. d.LTR. 3, pp. 000048-000049.** March 29, 1989.
18. Letter from Putin to Major General Böhm. *Brief von Putin an Generalmajor Böhm. Über die Neuinstallation einer Telefonverbindung für möglichen FIM.* Dresden: BStU MfS BV Dresden 1.Stellvertr. d.LTR. 3, September 07, 1989.

19. Warnig's National People's Army medal of merit in gold. *Warnig's Verdienstmedaille der Nationalen Volksarmee in Gold*. Berlin: BStU, Zentralarchiv, MfS-HA, KuSch, Nr. 891, p.000001, October 07, 1989.

Documents translated from the German book "Der Deutschland Clan das skrupellose Netzwerk aus Politikern, Top-Managern und Justiz" by Jürgen Roth:

20. Putin's power of attorney in voting on SPAG shares given to Dr. Vladimir Smirnov, 17 December 1994
21. Controversial dossier of the Federal Intelligence Service of the Federal Chancellery, 08 April 1999
22. Certificate of subscription signed by Rudolph Ritter in Schaan, 19 October 1995
23. Certificate of subscription signed by V.A. Smirnov, in Jersey, 08 March 1995

Documents translated from the Stasi files:

6.1 Zuchold's file in the Stasi archive confirms his employment by the Stasi in Dresden during the years Putin was there. His Personnel Card ("Kaderkarteikarte") confirms that he was sworn into the Stasi on 29 August 1975 and assigned to work in Dresden (Zuchold-Personnel Card n.d.).

In the immediate aftermath of the collapse of the Berlin Wall, West German and U.S. intelligence services both rushed in to East Germany to take over as many files, and agent networks, as possible. It is claimed that the CIA managed to acquire the complete card catalogue of all of East Germany's foreign intelligence agents (Macrakis 2008, 48). But those who were working directly for the KGB were not evidently compromised. Some of these agents were willing to continue to work for the KGB, and Putin in addition was said to have been involved in trying to recruit uncompromised officials who could continue to work for the KGB in a united Germany. An example of these was Klaus Zuchold, a former Stasi officer from Dresden who, in an interview with the *Sunday Times* in 2000, claimed he had known Putin since 1985 and admitted to having been recruited by him in January 1990. Eleven months later, while German reunification was taking place, he turned himself over to German intelligence, and at the same time revealed not only the names of four East German police who had spied for the KGB for years but also a detailed biography of Putin's time in Dresden.

However, Zuchold was not only instrumental for understanding Putin's involvement in recruitments of spies but he also provided insights in Putin's personal life in Dresden. Even though unofficial "contacts with the KGB were strictly forbidden, Stasi officer Klaus Zuchold visited Putin's flat in Radeberger Straße

several times” (Hutchins 2012, 48). Zuchold recalled that “[Putin] was clearly proud of belonging to the KGB. That was his life. He showed me his wristwatch, which had an inscription from some KGB bigwig. He loved patriotic stories of Russia's great past and popular heroes” (Franchetti 2000b). “One morning in the autumn of 1985,” Zuchold recalls that, “Putin turned up with his predecessors at a sports field where Stasi officers often played football” (Hutchins 2012, 44).

The Stasi document on Zuchold is his personal file [“Kaderkarteikarte”] that the Stasi had for each of its employees. According to the document Klaus Zuchold was born

on December 30th 1956 in Dresden and started working for the Stasi on September 1st 1982 in its Dresden office. Before working for the Stasi he had learned platemaking in a large Graphic company named the "People's Friendship Dresden". Klaus Zuchold's insights are important for the analysis of Putin's friendship to Stasi officials.

Dresden		Streng vertraulich! Nur für den Dienstgebrauch!		X	
Zuchold, geb. Pfanne		Vorname Klaus			
30.12.1956		Dresden		Dresden	
Ort		Kreis			
Arbeitgeber		Religion		ohne	
1. 01.09.1982		DA 02.80		DA f. MftrD. --	
2.					
Bildung		Bezeichnung der Schule		Fachrichtung	
von/bis		Abschluß			
und-, Ober-, Berufs-, Fach- u. Hochschulen, Teilabschlüsse an Volkshochschulen u. Betriebsakademien		10. Klasse		FA-Zgn.	
3/73		POS			
3/75		BBS			
ehrgänge, Fach- u. Hochschulen der bewaffneten Organe		12. Kl./Abitur			
75-23.6.76		OHS "E. Thälmann" Lößau			
		Speziallehrg. z. Erlangung d. Hochschulreife			
ehrgänge und Schulen der Partei u. a. gesellschaftlicher Institutionen		9/80		Kreisschule M/L.	
.81-10.7.82		Bezirksparteischule			
MA-Nr.		veredigt		Tauglichk.-Stufe	
29.08.75		tg.		30 12 56 4 22 78 8	
Wohnanschrift		2023 Dresden		Tel.-Nr.	
Nebenwohnung		Mitglied der Partei seit		Kandidat seit	
26.04.1976					
Mitglied welcher Massenorganisationen		FDGB, FDJ, DSF, Handwerkskammer		wo erlernt	
erlernte Berufe		FA f. Druckformenherstellung VEB Graphischer Großbetrieb "Völkerfreundschaft Dresden			
überwiegend ausgeübte Tätigkeit		Lehrl., FA f. Druckformenherstellung, NVA			
Anlagenmaschinist, Blitzschutz-Hilfsmonteur		letzte Tätigkeit		letzte Arbeitsstelle	
bis 10.7.82 Student		BPS "Georg Wolff" Dresden			
ab 11.7.82 Monteur		PGH "Elektro-Blitz" Dr.			
besondere Kenntnisse und Fähigkeiten		Fremdsprachen		perfekt	
Übers./Dolmetscher		Fahrerlaubnis Klasse		17	

HA-Kass. d. HK-G-KH-HH

hnungen

m Bef.-Nr.

Art der Auszeichnungen

VS K 12/VS

Mitd NVA in Bronze

Familienstand verh. S 8.12.76

(Verh. seit Name, Vorname, geb., verw., Geburtsname, geb. am, Beruf, wo als was tätig, organisiert wo)

geb. am

Kinder

Name

Vorname

geb. am

Allgemeines zur Person

andere im Haushalt lebende Personen

Name

Vorname

Verwandtschafts-
Grad

wo und als was tätig

Eltern / Stief- bzw. Pflegeeltern

Name

Vorname

geb. am

wohnhaft

Verwandte, die im MfS tätig sind

Name

Vorname

geb. am

Dienststelle

am 09.09.1982

durch Schneider Oltn.

in den bewaffneten Organen

- und Zivilbeschäftigtenzeit in anderen Organen und andere auf das Dienstalter angerechnete Zeiten
llung, Versetzung, Kommandierung, Beförderung, Ernennung, Degradierung, Rückstufung im MFS

	bis	Dienstgrad	Dienststellung tätig als	Summe	HA, Abt., KD	Dienstseinheit	Bef.-Nr.	Dat. d. Vfg.
75	24.02.78	Lehrf.	Offz.-Schüler/Schule abgebrochen und Grundwehrdienst u. Grundwehrdienst Hostock Mot-Schütze	VII/600,--	O.i.b.E./XV Dresden	OHS"E. Thälmann" Zittau	-	1976
82		Oberfeldw.	O.i.b.E.	- " -	MA. IV		K 4265/82	
84		"	op. MA	"			K 534/84	
85		Unterleutnant	"	"			K 76/84	
85		"	pol.-op. Sb.	VII 750,-			K 272/85	
86		Leutnant	"	"			K 105/86	
87		"	Olt. t. Aufklärung	IX 850,-			K 549/86	
88		"	Basisschüler	IX 300,-	ABG. VIII		K 105/89	
89		Olt. n.	MA Führung Dienstvorschriften	"	MA. PT			

6.2 Matthias Warnig's alias "Hans-Detleff's statement of commitment. *Verpflichtungserklärung* created by the Stasi, 02 February [19]74. Senftenberg: BStU MfS AIM 6367/75 Teil 1, p.000010, [19]74.

This document is a statement of commitment to the Stasi [Verpflichtungserklärung], handwritten by Matthias Warnig, as it was common practice for new employees for the Stasi. It indicates that Matthias Warnig started his career as an informer [IM] under the pseudonym of "Hans-Detlef" in Senftenberg in 1974, where he spied among his friends involved in youth groups. The statement of commitment always followed certain criteria: the document had to be handwritten by the informer himself. It further needed to contain certain textual fragments for it to be considered a valid statement of commitment. It was important that it stated that the informer was willing to "unofficially support the Ministry of State Security in the fight against the enemies of our country on a voluntary basis" and that he "will always fulfill this patriotic duty with great dedication and initiative". The informer further had to confirm that he had been "informed on this day about the fact that [he] ha[d] to strictly remain silent about this informal cooperation" and that "even [his] closest relatives and acquaintances [were] not to be informed about any of [his] activities". This means that even Warnig's parents did not know about his unofficial work and were also spied upon for the Stasi to ensure Warnig's credibility. The last sentence of a Stasi statement of commitment stated the importance of choosing a cover name for the informer's own "safety". From that day on Warnig was referred to as "Hans-Detlef" in Stasi files.

Senftenberg, den 19.2.74

BSIU
000010

Verpflichtung

Ich, Matthias Wornig, geboren am 26. Juli 1955 in Altdöbern, wohnhaft in Senftenberg, [REDACTED], war seit Schüler an der EOS Rauchhammer verpflichtet mich, auf freiwilliger Grundlage das Ministerium für Staatssicherheit im Kampf gegen die Feinde unseres Staates ineffizient zu unterstützen. Ich werde auftrags gemäß oder aus eigener Initiative über alle mir bekanntgewordenen Missetaten, Verkommnisse und negative Erscheinungen insbesondere aus dem Bereich Jugend, aber auch aus allen anderen Bereichen berichten. Mir ist bewußt, daß das MfS und damit alle Werktätigen unserer Republik eine hohe Verantwortung übertragen haben und ich werde diese patriotische Pflicht stets mit großer Einsatzbereitschaft und Eigeninitiative erfüllen.

Ich wurde am heutigen Tag darüber belehrt, daß ich über diese ineffiziente Zusammenarbeit und allen damit im Zusammenhang stehenden Aufgaben gegenüber jeder Person auch meinem nächsten Verwandten und Bekannten strengstes Stillschweigen zu wahren habe. Ich wurde darüber belehrt, daß ich bei Bruch dieser Schweigeverpflichtung nach dem geltenden Gesetzen der Republik strafrechtlich zur Verantwortung gezogen werden kann.

Zu meiner eigenen Sicherheit wähle ich mir den Decknamen „Hans-Doppel“.

[Translated by author]

Senftenberg, 19 February [19]74

Declaration of Commitment

I, Matthias Warnig born on July 2nd 1955 in Altdöpern, living in Senftenberg, -----
-, currently a student at the EOS Lauchhammer agree to unofficially support the
Ministry of State Security in the fight against the enemies of our country on a
voluntary basis. I will report as ordered or on based on my own initiative on
everything I know about events and negative symptoms, in particular in the field of
youth, but also from all other areas. I am aware that the Stasi and therefore all oft he
valued activities of our republic have delegated a lot of responsibility and I will
always fulfill this patriotic duty with great dedication and initiative.

I was informed on this day about the fact that I have to strictly remain silent about
this informal cooperation even my closest relatives and acquaintances are not to be
informed about any of my activities. I was instructed that I can be held criminally
responsible for breach of this gag order under the applicable laws of the Republic.

For my own safety I choose my cover name to be "Hans-Detlef".

6.3 Notation in Matthias Warnig's (aka Hans-Detleff) file by the Stasi confirming that Matthias Warnig was contacted by the Stasi and then hired full time thus resigning his previous occupation as an informer, *Aktenvermerk in Matthias Warnig's Akte bezüglich des Vorschlages zur Verpflichtung eines IM*. BStU MfS AIM 6367/75 Teil 1, p. 000040. October 08, 1974

This document is one of a series of documents concerning Warnig's official introduction to the Ministry of State Security [the Stasi]. This document is again one of formal character that needed to be signed and written. It shows that the Stasi was always concerned about meeting all formalities. It is evidence of the fact that Warnig continued his informer activity for the Stasi.

BSU
000040

Hauptabteilung I/MfNV
Referat UA WR / MfS

Berlin, den 08.10.1974

AKTENVORMERK

Betr.: Verbindungsaufnahme zum IMS... *Hans-Dietrich*

Nach Übernahme der IM-Unterlagen von der... *W.D. Seifert*
wurde am 08.10.1974 durch Unterzeichneten die
Verbindung zum IM aufgenommen.

Der IM erklärte sich hierbei bereit auch weiterhin inoffiziell
mit unserem Abwehrorgan zusammenzuarbeiten.

Während des Treffs wurde der IM schwerpunktmäßig mit der
Situation im WR/MfS und mit dem sich hieraus ergebenden in-
offiziellen Aufgaben vertraut gemacht.

(konkrete Einweisung und Beauftragung- siehe Treffauswertung
vom 10.10.1974 im Teil II der IM-Unterlagen.

Eber
.....

[Translated by author]

Department of I / MfNV
1974

Berlin, 08 October

Unit UA WR / Stasi

Memorandum

Re. Connection establishment to IMS "Hans-Detlef"

After taking over the IM documentation of the KD Senftenberg the connection to the undersigned IM was made on October 8th 1974.

The IM agreed to continue his unofficial work for our defense organ.

During the meeting the IM was made familiar with the situation in the WR / Stasi and the unofficial duties resulting therefrom.

(Specific instruction and assignment-see meeting report from October 10th 1974 in Part II of the IM documentation.

.....

**6.4 Notation to Matthias Warnig's alias "Hans-Detleff's file.
*Aktenvermerk zu Matthias Warnig von Oberstleutnant Halla. BStU MfS AIM
6367/75 Teil 1, 42, November 27th 1974.***

According to this document dated November 27th 1974 and signed by Oberstleutnant Halla, Warnig was recommended as an informer to continue his work for the Stasi: "We believe this IM is a valuable unofficial employee who would still fulfill his tasks to our satisfaction, even after the completion of his service in the guard regiment and after the completion of his duties in operational service."

Kreisdienststelle Senftenberg
F a c h , Leutnant

Senftenberg, den 10. 02. 74

21
BSTU
000020

Vorschlag zur Verpflichtung eines IMS

Es wird vorgeschlagen, die Person

W a r n i g , Matthias
geb. am 26. 07. 55 in Altdöbern
wh.: Senftenberg, [REDACTED]
erl. Beruf: ohne
Tätigkeit: Schüler, EOS Lauchhammer
Fam.-St.: ledig
Nationalität: deutsch
Staatsanghk.: DDR
Parteizughk.: parteilos
Vorstrafen: keine
Konfession: ohne

als inoffiziellen Mitarbeiter, Kategorie IMS, zu verpflichten.

I. Bekanntwerden des Kandidaten:

Der IM-Kandidat wurde unserer DE während der op. Bearbeitung im Schwerpunkt Jugend bekannt, und es zeigte sich, bei der offiziellen Kontaktierung, daß der IM-Kandidat bereit und in der Lage ist, unser Organ zu unterstützen. Von Anfang an ist hervorzuheben, die hohe Einsatzbereitschaft, die der IM-Kandidat bei der Lösung von Aufträgen unseres Organs zeigte. [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

[Translated by author]

District office Senftenberg
Compartment, Lieutenant

Senftenberg, February 10 [19]74

Proposal to hire as an IMS

It is proposed that, the person

Warnig, Matthias

Born on 07/26/[19]55 in Altdöbern

Resident: Senftenber, [redacted text]

Profession: student, EOS Lauchhammer

Marital status: single

Nationality: German

Nationality: DDR

Party affiliation: no party affiliation

Criminal record: none

Confession: without

Is employed as an informer, category IMS

I. How the candidate emerged

The IM-candidate became known to our service unit during the operational processing with the focus on youth, and it showed, after official contacting, that the IM candidate is willing and able to support our body. From the outset, the high level of commitment exhibited by the IM-candidate in the solution of our organ's orders, should be emphasized. [redacted text]

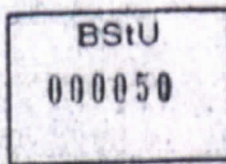
Author's note on this archival file:

- **IMS=** Inoffizieller Mitarbeiter zur Sicherung - und Durchdringung eines Verantwortungsbereiches
(Unofficial employees for the security - and penetration of an area of responsibility)

6.5 Letter confirming that the IM Matthias Warnig was hired by the Stasi as a full time employee. *Bestätigender Brief, dass Matthias Warnig am 26. März 1975 offiziell von der Stasi eingestellt wurde.* BStU MfS AIM 6367/75 Teil 1, p. 000050. March 26, 1975

This document is evidence that the Stasi-Warnig relationship was continued and the IM Warnig was officially “transferred to the Stasi” and that the “unofficial cooperation with him [was] terminated” on March 26th 1975. This document serves as evidence that Warnig started a professional career for the Stasi spying for them in East and West Germany, always interested in West German enterprise management and technology.

Gründe für die Veränderung:



Mitarbeiter *

Leiter der Dienststelle *

Bestätigt am: _____

vom * _____

Unterschrift

Gründe für die Einstellung

der IM-Vorlauf-Akte bzw. des IM-Vorganges:

Der IM wurde mit Wirkung vom 26.03.1975 zum MfS übernommen.
aus diesem Grunde wird die inoffizielle Zusammenarbeit mit ihm
eingestellt.

Die IM-Unterlagen werden im Archiv der Abt. ^I abgelegt.

Gesperte Ablage

Der Vorgang ist - ~~anmerkt~~ - ~~nicht gesperrt~~ - abzulegen (außer HA Kader und Schulung)

• Nichtzutreffendes streichen *lt. Anweisung Kaderarbeit IV, erfolgte Einstellung
als Mitarbeiter des MfS am 24.4.75*

Inhalt der Akte _____ Blatt

Teil I - 41 Blatt

Teil II - 122 Blatt

Kaler Ltn.

Mitarbeiter *

Leiter der Dienststelle *

Pinsler
Major

Bestätigt am: _____

vom * _____

Unterschrift

[Translated by author]

about the change in IM-type

1 New IM-type

Reasons for the change:

employee

Head of Unit

Confirmed on: by:

Reasons for the termination

Of the IM-forward file or the IM process:

The IM was with effect from March 26th 1975 transferred to the Stasi, for this reason the unofficial cooperation with him is terminated

The IM documents were filed in the archives of the Dept. I [or F].

locked storage

The process is to be taken off. (with the exception of cadre and training)

Content of the Act Journal

Part 1-41 leaf

Part 2-122 Journal

Lt. Kaler

employee

head of the district unit

Confirmed on 04/16/75

Pinsler

by Major

Recognition: also specify names and ranks with machine or in print

6.6 Warnig-Personnel Card. Kaderkarteikarte Warnig, Matthias, geb. 26.09.1955. Bestätigung seiner Entwicklung vom IMS, zum Leutnant, zum Oberstleutnant und später zum Hauptmann. Kaderkarteikarte, Stasi Archive, BStU, MfS KKK Warnig, Matthias 26.09.1955.

The Stasi document on Matthias Warnig is his personal file [“Kaderkarteikarte”] that the Stasi had for each of its employees. According to the document Matthias Warnig was born on September 26th 1955 in Senftenberg and started working for the Stasi as an informer in 1974 when he learned to spy among his friends and youth groups he was involved in. It further indicates that during the time he was working for the Stasi he three code names. His first being “Hans-Detleff”, his second being “Arthur” and his third being “Ökonom” (the economist).

Name Wardig		Reg.-Nr./Erfassungsart 1/2777/76	
Geburtsname		Heimat	
weitere Namen		HV A/SWT/XV/ NA 530	
Vorname	Matthias	DF-Mitarbeiter U. [unintelligible]	
geb. am	26.07.55 Altdöbern	Bei registrierten Vorgängen nur Bez.-Nr. und Bezirk bei künftigen Erfassungen Art, Bezirk, OR, evtl. Mitarbeiter angeben	
Staatsangehörigkeit	DDR	22217 15 385	
PKZ	Senftenberg, [redacted]	Document	
Ab-schreiben	[redacted]	K3: [redacted]	
Beruf/Tätigkeit	Student	23. [unintelligible]	
Arbeits-stelle		Karte angelegt am 8.10.76	

Form 11 100 716 12/63 1011

Kopie BStU
AR 2 02.03.05

7 16 HVA

Keine Rückseite der Karteikarte
vorhanden.

Vorg.-Art	Reg.-Nr. XV 5173/76		
Datumsatz	GRUNDRISS		
IME-Art/Datumsatz			
Angelegt am	31.7.76	BV/Verw.	YA
Abl.-KD	XV 5/630	Mitarb.	Werner, Hans (630) 1150
Bearbeitet am	15.3.85	BV/Verw.	APN
	P.-NA/T.1171	Archiv-Nr.	AIM 22217
Datum	29.5.1981		
	P.-Hebenauftrag Teil II/1		
	an HVA SWT XV/5/605 Kampfrath, Christian 1150		
	an SWT XV/6/630 Körner, Peter 1150		
	PNA 3.7.76		

Kopie BStU
AR 2 07.03.05

F 22 HVA

Name		F. A. N. I. E		HP		XV/453/86	
Geburtsname				Reg. Nr. Erfassungsort			
Weitere Namen				MIS BV Vorp.		R	
Vorgesch.		Katholik		SME / AG 1. Legler			
Geburtsdatum		26.07.1955		DE Mitarbeiter (bei registrierten Vorgängen nicht angeben)			
Geburtsort		Alt Lübeck		Archiv Signatur			
PLZ		215017554		MIS BV Vorp. ablegende DE			
Staatsangehörigkeit		DDR		Mitarbeiter		nicht genannt	
Wohnort		1034 Berlin		Karte angelegt am		10.10.85	
Anschreiben				Karte angelegt am		XV/1093/62	
Beruf/Fähigkeit		Diplom-Ökonom					
Arbeitsstelle							

Keine Rückseite der Karteikarte vorhanden.

Kopie BStU
AR 2 09.03.05

F16/HVA

Reg.-Nr. IV/453/86	
Codename "Arthur"	
IM-Kategorie / Delikt	
Angelegt am 9.1.86	MIS / BY / Verw. HV-A
HA / AL / KB BUT AG 1-651	Mitarb. Lögler, Rolf 121A
Beendet	
MIS / BY / Verw.	
Archiv-Nr.	
Nicht gesperrt	
Datum	Art der Veränderung
00.04.87	F-Nebensakte
An HVA/ST/AG 1/651 Reichelt, Siegfried MAA	
9.1.86 PNA	

Kopie BStU
AR 2 07.03.05

F 22 HVA.

Name				Geb. am				Dienststelle			
Verwandte, die im MfS tätig sind				Name				Geb. am			
Eltern / Stief- bzw. Pflegeeltern				Name				Geb. am			
andere im Haushalt lebende Personen				Name				Geb. am			
Kinder				Name				Geb. am			
Allgemeines zur Person				Name				Geb. am			
Familienstand				Name				Geb. am			
Belobigungen und Dank vor der Fron				Name				Geb. am			
Art der Auszeichnungen				Bel-Nr.				Datum			
Auszeichnungen				Bel-Nr.				Datum			

DE HVA		Streng vertraulich! Nur für den Dienstgebrauch! X		MA-Nr.		veredigt 3.10.74		Tauglichk-Stufe tgl.	
Name Warnig		Vorname Matthias		Wohnanschrift		Tel.-Nr.		1034 Berlin	
geb. am 26.7.55		Ort Altdöbern		Kreis Calau		Nebenwohnung			
soz. Herkunft Intelligenz		Religion ohne		wann ausgetreten 1.2.74		Mitglied der Partei seit (genaues Datum) 29.1.75		Kandidat seit 05.02.74	
Einstellung 1. 01.04.75		DA 23.9.74		DA 1. Mfr.D.		Mitglied welcher Massenorganisationen FDJ, DSF, DTSB, GST		erlernte Berufe ohne	
MIS 2.								wo erlernt	
Schulbildung		Bezeichnung der Schule		Fachrichtung		Abschluß		überwiegend ausgeübte Tätigkeit Schüler	
a) Grund-, Ober-, Berufs-, Fach- u. Hochschulen, Teilabschlüsse an Volkshochschulen u. Betriebsakademien		1962 - 1970 OS		1.9.70-31.8.72 EOS		1.9.72-22.6.74 EOS		1.9.77 - 31.8.81 HS f. Ökonomie u. Betriebswirtschaft	
b) Lehrgänge, Fach- u. Hochschulen der bewaffneten Organe								letzte Tätigkeit WR "F. Dzierzynski" vorher Schüler	
c) Lehrgänge und Schulen der Partei u. a. gesellschaftlicher Institutionen								letzte Arbeitsstelle	
								besondere Kenntnisse und Fähigkeiten	
								Fremdsprachen welche perfekt Übers./Dolmetscher	
								Russisch Französisch	
								Fahrerlaubnis Klasse	

Dienst in den bewaffneten Organen

- a) Dienst- und Zivilbeschäftigtenzeit in anderen Organen und andere auf das Dienstalter angerechnete Zeiten
b) Einstellung, Versetzung, Kommandierung, Beförderung, Ernennung, Degradierung, Rückstufung im MIS

von	bis	Dienstgrad	Dienststellung tätig als	Summe	Diensteinheit		Bef.-Nr.	Dat. d. Vlg.
					HA, Abt., KD	BV		
23.9.74	31.3.75	Soldat	WR "F. Dzierzynski"					
1.4.75		"	WSK I. (B)	350,-	Obj. S	HV A	K 1174/75	6.3.75
1.10.75		Pfz.	"	"	"	"	K 2848/75	
1.6.76		"	op. MA	500,-	HVA / SWT / X	HVA Polu.	K 2536/76	
1.2.77		Unteroffizier	"	"	"	"	K 134/77	
1.9.77		"	Heid. Korbachwe f. Osk.	"	"	"	"	
1.1.78		"	"	550,-	"	"	"	
1.2.80		Feldweibel	"	"	"	"	K 177/80	
1.9.81		Leutnant	op. MA	750,-	"	"	K 4051/81	
1.10.82		"	"	750,-	HVA / XV	"	K 4461/82	10.9.82
1.10.83		"	"	800,-	"	"	K 3601/83	9.8.83
1.2.85		Obstleutnant	"	"	"	"	K 7557/85	
1.7.85		"	"	1.000,-	"	"	"	
1.12.85		"	"	"	HVA / SWT / AG	"	K 4321/85	
1.7.87		"	"	X	"	"	"	
1.2.88		Hptm.	"	"	"	"	K 1091/88	
1.1.89		"	"	X	"	"	"	
1.10.89		"	op. MA	1100,-	HVA / XV	"	K 3480/89	2.8.89
1.11.89		"	stv. Ref. Lts.	1300,-	HVA / XV / S	"	K 4334/89	Endeum E

Verwandtschaft u. Verbindungen nach WD, WB, kap. Ausland

Verwandt- Grad	Name	Vorname	Geb.-Jahr	wo, seit wann wohnhaft	Art der Verbindung	Aufenthalt außerhalb der DDR (ohne Urlaub und Kur)			
						von	bis	wo aufgehalten	Grund
						Aug. 73		UdSSR	Freundschafts- delegation FDJ sekr.

Partei- und Disziplinarstrafen

Datum Bef.-Nr.	Strafmaß	Grund	gelöscht am



Entlassungen

(Bef.-Nr., mit Wirk., vers. in Res., Überg.-Gebühr., Grund)

Archiv-Nr. der P-Akte

P-Akte wurde abverfügt an

klein
19.11.81

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	
20	Name: Warnig Vorname: Matthias													260755408923					
21	geb. am: 26.7.55 in: Altdöbern Soz. Herk.: Intellig.													MA					
22	Konf.: ohne Schulb.: 12 Abchl.: m. Auszeichnung													DA					
23	Erl. Beruf: ohne/EOS Abchl.: BV Cottbus													Einst. 23.9.74					
24	ausgeübte Tätigk.: Schüler letzter Betrieb: EOS Lauchhammer													Anz. DZ					
25	Schulen/Lehrg. vor Einst.: Ausz./Ehrungen vor Einst.: GW, G'													AE Entl. 11/77					
26	SED (K) 5.2.74 SED (M) 29.7.75 Gesellsch. Org.: FDI, DSB, DSt, GSt													Spezialk.					
27	Funktionen: F07-lehr.													EV/W M/S					
28														Telk.: ✓ Gr58e: 181 Gew. 87					
29														Einschränk: /					
30	Auszeichnungen													Beförderungen					
31	25 03 75 Bestenabzeichen 1728 75																		

AK 2-Kopie
HA KUSCHAKG-KA WR

13.03.77

6.7 Letter from Lt Colonel Riedel to Col. General Anders. *Brief von Oberstleutnant Riedel and Gen. Oberst Anders. Über eine Beziehung zwischen einem unabhängigen Handwerker und einem sowjetischen Offizier.* Dresden: BStU MfS BV Dresden 1. Stellvertretender d. LTR 3 page 000440, October 31, 1983.

Putin's work in Dresden, East Germany appears to have been highly symptomatic of the deep cooperation between the Stasi and the KGB as it was presented to the outside world. However, archival documents also reveal hidden tensions between the "Bruder Organe" ["Brother Institutions"], respectively between the Stasi and the KGB. There were different ways in which this espionage was carried out. This letter is one of many that can serve as evidence that the KGB was working against agreements with the Stasi concerning shared intelligence.

Whatever reassurance the KGB may have provided the Stasi, the archives provide direct evidence of KGB recruitment of GDR citizens. One example of Stasi awareness that the Soviets had bypassed this 1978 agreement between the Stasi and the KGB was a series of letters between two Stasi officers in Dresden from October 10, 1983 to March 5, 1985. This exchange concerned "an independent master craftsmen from [redacted text]³⁵ (...), [who was] processed by the OPK³⁶ "Friend," for his significant characteristics (negative basic attitude, active connections in the

³⁵ Due to the highly classified nature of Stasis files, examined and translated from German to English, it is not uncommon for parts to be edited and censored; identified as "redacted text".

³⁶ **OPK**= Operative Personenkontrolle (MfS)

(Operational control of persons (Stasi) – A unit within the Stasi in this case called "Friend" involved in the covert surveillance of those suspected of non-compliant political behavior. Also used for routine review of officials)

West) and his allegedly close relationship to an officer of the GSSD³⁷ (Letter from Lt Colonel Riedel to Col. General Anders October 31, 1983). This correspondence provides evidence that the KGB directly processed people who maintained Western connections, who possessed a politically negative attitude towards the GDR's political system, or who could become instrumental to them in any possible way in the future.

³⁷ **GSSD**= Gruppe der Sowjetischen Streitkräfte in Deutschland
(Group of Soviet Forces in Germany)

[Translated by author]

District Administration

Dresden, 31 October 1983

State Security Dresden
5399/83

Tgb No. Rie-ga

Service unit Dresden-Land

district Administration

For State Security

deputy operational

Gene. Colonel Anders

Support by the Soviet military defense

By KD Dresden-country an independent master craftsmen from ----- is being processed by the OPK "friend" because this surgery has significant features (negative basic attitude, active west-connection) and allegedly maintains a close relationship to an officer of the GSSD.

I ask you to consider the liaison officer Matwejev, in order to identify an officer from the Meissen / Bohnitzsch object who maintains friendly connections to East Germans in the area ----- or those looking for exists.

So far the following details have been known to this officer of GSSD:

Rank: Captain

First name: -----

Agency: Object of GSSD Meissen / Bohnitzsch

Mustache

Stocky figure.

For unambiguous clarification of the surgically interesting connection in the OPK this support is required.

Riedel

Lieutenant Colonel

Author's note on this archival note:

- **GSSD**= Gruppe der Sowjetischen Streitkräfte in Deutschland
(Group of Soviet Forces in Germany)
- **OPK**= Operative Personenkontrolle (MfS)
(Operational control of persons (Stasi) – A unit within the Stasi in this case called “Friend” involved in the covert surveillance of those suspected of non-compliant political behavior. Also used for routine review of officials)

6.8 Warnig's National People's Army medal of merit in silver. *Warnig's Verdienstmedaille der Nationalen Volksarmee in Silber*. Berlin: BStU, MfS-HA KuSch, Nr. 124, p. 000001, October 07, 1984.

This document serves as evidence that Warnig was good at the work he was doing for the Stasi and was consequently awarded several medals one of which was the silver medal he received in Berlin on October 7th 1984.

Ministerrat
der Deutschen Demokratischen Republik
Ministerium für Staatssicherheit
Der Minister

Berlin, 7. Oktober 1984

B e f e h l Nr. K 5447/84

In Anerkennung und Würdigung ihrer vorbildlichen Leistungen während der langjährigen verantwortungsbewußten und zuverlässigen Pflichterfüllung im Ministerium für Staatssicherheit sowie ihrer besonderen Verdienste, die sie sich durch umsichtige und initiativreiche Tätigkeit und hohe Einsatzbereitschaft beim Aufbau unseres Arbeiter-und-Bauern-Staates und im Kampf gegen die Feinde des Friedens und des Sozialismus erwarben,

v e r l e i h e i c h

aus Anlaß des 35. Jahrestages der Gründung der Deutschen Demokratischen Republik den in den Anlagen angeführten Angehörigen und Kollektiven des Ministeriums für Staatssicherheit

den Kampforden "Für Verdienste um Volk und Vaterland"

die "Verdienstmedaille der Deutschen Demokratischen Republik"

den Ehrentitel "Verdienter Aktivist"

Anlagen

- 24 Blatt Kampforden
- 15 Blatt VM der DDR
- 6 Blatt ET "Verd. Aktivist"

Gef.: 2 Exemplare

Milky
Armeegeneral

22.	Leutnant	[REDACTED]	[REDACTED]	09.74
23.	Leutnant	Warnig, Matthias	26.07.55	09.74
24.	Unterleutnant	[REDACTED]	[REDACTED]	09.74
25.	Unterleutnant	[REDACTED]	[REDACTED]	09.74
26.	Unterleutnant	[REDACTED]	[REDACTED]	12.74
27.	Unterleutnant	[REDACTED]	[REDACTED]	11.74
28.	Unterleutnant	[REDACTED]	[REDACTED]	09.74
29.	Oberfeldwebel	[REDACTED]	[REDACTED]	11.74
30.	Oberfeldwebel	[REDACTED]	[REDACTED]	10.74
31.	Oberfeldwebel	[REDACTED]	[REDACTED]	09.74
32.	Oberfeldwebel	[REDACTED]	[REDACTED]	09.74
33.	Oberfeldwebel ^{verh.} x	[REDACTED]	[REDACTED]	10.74
34.	Oberfeldwebel	[REDACTED]	[REDACTED]	10.74
35.	Oberfeldwebel	[REDACTED]	[REDACTED]	09.74
36.	Feldwebel	[REDACTED]	[REDACTED]	11.74
37.	Feldwebel	[REDACTED]	[REDACTED]	11.74

in Bronze

1.	Oberleutnant	[REDACTED]	[REDACTED]	10.79
2.	Oberleutnant	[REDACTED]	[REDACTED]	10.79
3.	Oberleutnant	[REDACTED]	[REDACTED]	09.79
4.	Oberleutnant	[REDACTED]	[REDACTED]	09.79
5.	Leutnant	[REDACTED]	[REDACTED]	09.79
6.	Leutnant	[REDACTED]	[REDACTED]	09.79
7.	Leutnant	[REDACTED]	[REDACTED]	09.79
8.	Leutnant	[REDACTED]	[REDACTED]	11.79
9.	Leutnant	[REDACTED]	[REDACTED]	09.79
10.	Unterleutnant	[REDACTED]	[REDACTED]	11.79
11.	Oberfeldwebel	[REDACTED]	[REDACTED]	10.79
12.	Feldwebel	[REDACTED]	[REDACTED]	09.79
13.	Feldwebel	[REDACTED]	[REDACTED]	09.79
14.	Feldwebel	[REDACTED]	[REDACTED]	09.79
15.	Feldwebel	[REDACTED]	[REDACTED]	09.79
16.	Feldwebel	[REDACTED]	[REDACTED]	09.79

[Translated by author]

Council of Ministers

Berlin, 07 October 1988

The German Democratic Republic

Ministry for State Security

the Minister

Order No. K 5447/84

In recognition and appreciation of their exemplary performance during the many years of responsible and reliable performance of duty in the Ministry of State Security, as well as their special merits, they are distinguished by prudent and proactive rich activity and high level of commitment in building our workers 'and peasants' state and in the fight against the enemies of peace and Socialism acquired,

I decorate

On the occasion of the 35th Anniversary of the founding of the German Democratic Republic is the lead in the plants and collective members of the Ministry of State Security

with the

Order of the fight for "services to people and our country"

the "Medal of Merit of the German Democratic Republic"

the honorary title "Honored Activist"

army General

Mielke

equipment

24 sheets Fight Order

15 sheets FROM GDR

6 sheets ET "Comp. activists ""

Found: 2 copies

1. 1 Ex HA Kus / Sekr.
2. 2 Ex HA Kus / Sekr.

BStU MfS-HA KuSch Nr. 124 000162

23. lieutenant

Warnig, Matthias

26 July 55

09.74

6.9 Letter from Lt. Colonel Riedel to Colonel Gen. Anders. *Bitte um Unterstützung durch die sowjetische Militärabwehr.* Dresden: BStU MfS BV Dresden 1. Stellvertretender d. LTR 3 page 000439, January 29. 1985.

This document is a letter by Lt. Colonel Riedel to Colnel Gen. Anders asking for support and help from the Soviet military defense in identifying a Captain of the GSFG on a photograph. This document is highly symptomatic of a deep cooperation between the Stasi and the KGB, and it further serves to exemplify rather unknown and commonly overlooked Stasi- KGB cooperation. Nevertheless, the KGB was working against these agreements on cooperation and shared intelligence, and was simultaneously infiltrating Western companies and cooperating with GDR citizens hostile to the regime in order to prepare for what would come in the event that the Honecker leadership was overthrown in a coup, thereby undertaking actions that are rather uncommon for a brother [Bruder] organ.

Bezirksverwaltung
für Staatssicherheit Dresden
Diensteinheit Dresden-Land

Dresden

29. 1. 1985

Tgb.-Nr. rieg-gä 557 /85

BStU
000436

Bezirksverwaltung
für Staatssicherheit
Stellvertreter Operativ
Gen. Oberst Anders

31. JAN 1985

30745

Wo mnd l. 1. 1984
Bitte um Unterstützung durch die sowjetische Militärabwehr
Unser Schreiben v. 31.10.83, Tgb.-Nr.: 5399/83 und Antwort-
schreiben der sowjetischen Militärabwehr vom 19.3.84, Tgb.-
Nr. 86/84 an den Leiter der BV Dresden, Gen. Generalmajor Böhm

1. 6. 84 18.3.84 an "G"
Der auf dem von der sowjetischen Militärabwehr überstellten
Foto abgebildete

Hauptmann der GSSD

geboren

wurde durch einen IM unserer DE als Kontaktpartner der OPK-
Person "Freund" identifiziert.

Im Rahmen der Durchführung der OPK konnten seit Anfang 1983
keine weiteren Kontakte zwischen der OPK-Person und Hptm.
festgestellt werden.

Wir bitten Sie, über die sowjetische Militärabwehr prüfen zu
lassen, ob operative Möglichkeiten zur Klärung des Charakters
dieser Verbindung vorhanden sind bzw. inwieweit der sowjetische
Offizier zur Aufklärung der OPK-Person genutzt werden könnte.

Leiter der Kreisdienststelle

W. Riedel
Riedel
Oberst

[Translated by author]

District Administration
State Security Dresden
Service unit Dresden-Land

Dresden, January 29th 1985

diary No. rieg-ga 557/85

district Administration
For State Security
deputy operational
Gene. Colonel Anders

Request for support by the Soviet military defense

Our letter v. 10.31.83, Tgb.-Nr. 5399/83 and reply of the Soviet military defense of 03/19/84, diary-No. 86/84. Director of the Dresden BV, gene Major General Böhm

The on the above presented by the Soviet military defense photo showing:

Captain of the GSFG -----

born -----

was identified by DE as an IM contact our partner OPK person "friend."

In implementing the OK no further contact between OPK-person and the captain could be determined ----- since early 1983.

We ask you to please check on Soviet military defense, whether options to clarify the nature of this compound are available and to what extent the Soviet officer could be used to educate the OPK person.

Head of the district office

Riedel

Colonel

Author's note on this archival file:

- **DE=** Dienstseinheit
(service unit)
- **IM=** Inoffizieller Mitarbeiter - konspirativ und in der Regel unbezahlt tätiger Informant des MfS, vor allem zur Überwachung und Beeinflussung seines Umfeldes; flächendeckend und in allen Bereichen der Gesellschaft, auch im Ausland einschließlich der Bundesrepublik Deutschland und in Berlin[-West] eingesetzt; Minister Mielke: "Hauptwaffe im Kampf gegen den Feind";
(Informer - conspiratorially and usually unpaid active informant for the Stasi, especially for monitoring and influencing its environment; widely adopted and in all sectors of society, including abroad, including the Federal Republic of Germany and Berlin [West]; Minister Mielke: "The main weapon in the fight against the enemy")
- **OK=** Operative Kombination (MfS) - koordinierte konspirative Maßnahmen mit dem Ziel, eine Person zu einem bestimmten Verhalten zu veranlassen
(Operational combination (Stasi) - coordinated conspiratorial measures with the aim to induce a person to a particular behavior)
- **OPK=** Operative Personenkontrolle (MfS)
(Operational control of persons (Stasi) – A unit within the Stasi in this case called "Friend" involved in the covert surveillance of those suspected of non-compliant political behavior. Also used for routine review of officials)

**6.10 Letter From Lieutenant Colonel Androsov to Major General Böhm.
Hinweise zu in NATO-Objekten arbeitende Buerger der BRD. Dresden: BStU
MfS BV Dresden 1. Stellvertr. D. LTR 3 page 00035, April 16, 1986.**

The fact that this letter is interested in FRG citizens that have worked in NATO objects is interesting when looking at Putin's personal accounts about his foreign deployment in Dresden, East Germany. As Alexander Rahr, a self-proclaimed friend of Putin, who was able to interview him several times and wrote several books about Putin, indicates, "according to Putin's own statement, the focus of his work in Dresden was the observation of the political situation in the NATO countries, mainly in the FRG. Putin studied the political landscape of the GDR and the FRG, for the Centre and compiled the biographies of important German politician and aspiring young politicians" (Rahr 2000, 59). It might have been in that position that Putin spied on "the prestigious East German scientist Manfred von Ardenne" (Kohl 2010). According to Robert Buchar, "the goal was stealing Western technology or NATO secrets" (Buchar 2010, 249).

In order to excel at their work in the field of political intelligence, which involved obtaining "information about political figures and the plans of Soviet bloc enemies, of whom NATO was considered the most dangerous" they were soon required to "to make informal contact with the Stasi" most importantly for information exchange purposes but most likely also for other private interests (Hutchins 2012, 44). Evidence that the KGB was interested in information on NATO and might have used officers such as Putin to work with informants, is a letter written

by Comrade Oberst Androsov³⁸ to Horst Böhm in which the former, “out of operational necessity” requested the “regular reception of evidence (...), of FRG citizens traveling and entering the district of Dresden” These categories included “in NATO-objects-working or for NATO objects-serving citizens”.

³⁸ first name not available/found

[Translated by author]

Soviet military defense

Dresden, 16 April 1986

In the first Guards Tank Army
89/86

head

District Administration

State Security Dresden

head

Comrade Major General Böhm

Out of operational necessity, I ask you arrange for us to be given the possibility of regular reception of evidence on below mentioned categories, of FRG citizens traveling and entering the district of Dresden from:

-Citizens working in NATO offices

-Uniformed employees of other institutions and agencies

-Companies, involved in fulfillment of military contracts, employees

-Students of universities and technical colleges

- Munich, Bad Hersfeld, Wiesbaden, Heidelberg, Darmstadt, Mönchengladbach, Nürnberg, Ramstein, Fritzlar, Frankfurt / Main, Fulda, Hanau, Heilbronn, Göttingen, Eschwege, Hof und citizens of Gießen

Signed by Androsov

Lieutenant Colonel

6.11 Warnig- Promotion from Lieutenant to Captain. *Befehl Nr. K 109/88 Matthias Warnig's Befoerderung vom Oberstleutnant zum Hauptmann.* Berlin: BStU, Zentralarchiv, MfS-Ha, KuSch, Nr. 891, p. 00261, February 08, 1988 .

On February 8th 1988 through the order number K 109/88, Matthias Warnig was promoted to the rank of captain having been lieutenant before. The promotion wasn't very surprising given that Warnig had been involved with the Stasi since his first commitment as IM "Hans-Detlef" in 1974. However, what is surprising is that Warnig was promoted the same day and during the same ceremony held for the 38th Anniversary for the formation of the Ministry for State Security held by Erich Mielke, in which Putin received his "medal of Merit of the National People's Army in bronze".

According to Putin himself "it was normal to be promoted while working at a foreign posting", hence he "was promoted twice" in five years (Gevorkyan, Timakova and Kolesnikov 2000, 72). His promotions were from "senior case officer to assistant head of department and then to senior assistant head", furthermore "Moscow showed its appreciation by promoting him to the rank of lieutenant colonel" (Hutchins 2012, 50)



In November 1987, Putin, however, together with many other Soviet colleagues, was "awarded the Ehrennadel der DSF³⁹, the Badge of Honor of the DSF⁴⁰ by the Head of the BV and Secretary of the District Board of DSF in gold" (BStU Archiv der Aussenstelle Dresden MfS BV Dresden Leiter der BV Nr. 10533 page 000002-

(Society for German-Soviet Friendship)

000003 n.d.). The Badge of Honor of the Society for German-Soviet Friendship was founded in 1954. Until the beginning of 1956, the front of the badge of honor displayed a portrait of JV Stalin and W. Pieck. However, this was changed significantly due to the 'de-Stalinization' in 1957. The second version of the badge displayed the flag of the GDR and the Soviet Union shown in a laurel wreath (2nd version) and in the levels gold (I) and silver (II). Already in 1960 the Badge of Honor was changed again and the state coat of arms flag had then be inserted into the flag of the GDR (3. Version). From 1973 onwards, only the 4th version that had been coated in polyester 4. Version was given out (Abzeichen der DDR - DSF ABZEICHEN 2011).

Ministerrat
der Deutschen Demokratischen Republik
Ministerium für Staatssicherheit
Der Minister

Berlin, 8. Februar 1988

BSU
000261

B e f e h l Nr. K 109/88

Für vorbildliche Leistungen und Verdienste, hohe Einsatzbereitschaft und Disziplin bei der Durchführung übertragener vielfältiger spezifischer Aufgaben zur Stärkung und Festigung unseres Arbeiter-und-Bauern-Staates

z e i c h n e i c h

aus Anlaß des 38. Jahrestages der Bildung des Ministeriums für Staatssicherheit die in den Anlagen aufgeführten Angehörigen, Offiziere im besonderen Einsatz und hauptamtlichen inoffiziellen Mitarbeiter des Ministeriums für Staatssicherheit aus:

"Verdienstmedaille der Nationalen Volksarmee"

"Verdienstmedaille der Grenztruppen der DDR"

"Medaille der Waffenbrüderschaft"

"Medaille für vorbildlichen Grenzdienst"

Ehrentitel "Aktivist der sozialistischen Arbeit"

Ehrengeschenk für 25jährige Zugehörigkeit

Ehrenurkunde für langjährige treue Pflichterfüllung

"Medaille für treue Dienste in der NVA"

Beförderungen/Ernennungen

Prämierungen

Anlage

87 Blatt u. 15 Blatt Beschlüsse

Gef.: 2 Exemplare

1. Ex. HA KdS/AKG

Milky
Armeegeneral

BStU
000296

35

14. Hauptmann	[REDACTED]	[REDACTED]	IX
15. Hauptmann	[REDACTED]	[REDACTED]	IX
16. Hauptmann	[REDACTED]	[REDACTED]	IX
17. Hauptmann	[REDACTED]	[REDACTED]	IX
18. Hauptmann	[REDACTED]	[REDACTED]	IX
19. Hauptmann	[REDACTED]	[REDACTED]	X
20. Hauptmann	[REDACTED]	[REDACTED]	X
21. Hauptmann	[REDACTED]	[REDACTED]	XI

zum Hauptmann

1. Oberleutnant	Warnig, Matthias	453/86	SWT/AG 1
2. Oberleutnant	[REDACTED]	[REDACTED]	III
3. Oberleutnant	[REDACTED]	[REDACTED]	III
4. Oberleutnant	[REDACTED]	[REDACTED]	III
5. Oberleutnant	[REDACTED]	[REDACTED]	VIII
6. Oberleutnant	[REDACTED]	[REDACTED]	VIII
7. Oberleutnant	[REDACTED]	[REDACTED]	IX
8. Oberleutnant	[REDACTED]	[REDACTED]	IX
9. Oberleutnant	[REDACTED]	[REDACTED]	IX
10. Oberleutnant	[REDACTED]	[REDACTED]	IX
11. Oberleutnant	[REDACTED]	[REDACTED]	XII
12. Oberleutnant	[REDACTED]	[REDACTED]	K
13. Oberleutnant	[REDACTED]	[REDACTED]	K

zum Oberleutnant

1. Leutnant	[REDACTED]	[REDACTED]	I
2. Leutnant	[REDACTED]	[REDACTED]	I
3. Leutnant	[REDACTED]	[REDACTED]	I
4. Leutnant	[REDACTED]	[REDACTED]	III
5. Leutnant	[REDACTED]	[REDACTED]	IV
6. Leutnant	[REDACTED]	[REDACTED]	IV
7. Leutnant	[REDACTED]	[REDACTED]	IV
8. Leutnant	[REDACTED]	[REDACTED]	IV

[Translated by author]

Council of Ministers
The German Democratic Republic
Ministry for State Security
the Minister

Berlin, 08 February 1988

Order No. K 109/88

For exemplary leadership and services, high readiness and discipline in carrying out transmitted diverse specific tasks to strengthen and consolidate our workers-and-peasants-state

I decorate

on the occasion of the 38th Anniversary of the formation of the Ministry of State Security, the members listed in the Appendices, officers on special assignment and full-time unofficial staff of the Ministry for State Security:

“Medal of Merit to the National People's Army”

"Medal of Merit of the border troops of the GDR"

"Medal of Brotherhood in Arms"

"Medal for Exemplary Border Service"

Honorary title "Activist of Socialist Labor"

Honor gift for 25 years of membership

Certificate of honor for many years of loyalty to duty

"Medal for faithful service in the NVA"

Promotions / Appointments

awards

army General
Mielke

Appendix:
87 sheet and 15 sheet decisions

Found: 2 copies

1. 1 Ex HA KuA / AKG
2. 2 Ex HA KuS/ AKG- lists in tray of the Secretariat

To captain

23. Lieutenant colonel	Warnig, Matthias	453/86	SWT/AGI
------------------------	------------------	--------	---------

**6. 12 Putin National People's Army Medal in Bronze. *Befehl Nr. K 114/88*
Putin Verdienstmedaille der Nationalen Volksarmee in Bronze. Berlin: BStU
*HA KuSch 186 pages 000261 and 000296, February 08, 1988.***

This document serves as evidence that Putin received a "medal of Merit of the National People's Army in bronze". It might have been normal in the sense that Putin wasn't the only KGB officer who was awarded a medal, however, it is interesting to



see Putin being awarded the "medal of Merit of the National People's Army in bronze"⁴¹
(*"Verdienstmedaille der Nationalen Volksarmee"* in Bronze) through the order No. K 114/88 (*Befehl Nr. K 114/88*) on February the 7th 1988 by the army general Erich Mielke as one of the ministers of the German

Democratic Republic Ministry of State Security (*des Ministerrates der deutschen Demokratischen Republik Ministerium für Staatssicherheit*) [translation by author].

The medal of Merit of the National People's Army was a national award of the German Democratic Republic (GDR), instituted on 1 June 1956 in three stages, in the form of bronze, silver and gold. It recognized outstanding achievements and commitment in building and strengthening of the National People's Army as well as excellence in leadership and troops, in political and military training as well as in the education of military personnel. "The bronze, silver-plated or gold-plated coin with a diameter of 35 mm showed on their obverse middle three raised embossed heads, which represent the three branches of the armed forces of the NVA Ground Forces, People's Navy and Air Force, as well as the underlying letter GDR, which the left and right of each is flanked an oak branch" (Bartel/Karpinski 1979) The medal was not

only given to NVA members but also to individuals as well as military collectives. This explains why Putin as a non-GDR citizen or Stasi member was still eligible to receive this award (Bartel/Karpinski 1979). Further, this document is another example for one of many occasions during which Putin and Warnig could have met if not during the ceremony than probably during the celebration following the official ceremony.

Berlin, 8. Februar 1988

BStU
000195

B e f e h l Nr. K 114/88

In Anerkennung und Würdigung ihrer Verdienste im Kampf um die Erhaltung des Friedens, beim Schutz unserer sozialistischen Heimat und ihrer vom proletarischen Internationalismus getragenen langjährigen brüderlichen Zusammenarbeit zwischen den Tscheken der DDR und den Sicherheitsorganen der UdSSR im Kampf gegen den gemeinsamen Feind

z e i c h n e i c h

aus Anlaß des 38. Jahrestages der Bildung des Ministeriums für Staatssicherheit folgende Angehörige der sowjetischen Sicherheitsorgane aus:

Mit dem

Kampforden "Für Verdienste um Volk und Vaterland"
in G o l d

Oberst	Kusmin, Iwan Nikolajewitsch 13.10.25	Abteilungsleiter
Oberst	Smirnow, Jewgeni Wassiljewitsch 25.09.24	Abteilungsleiter

Kampforden "Für Verdienste um Volk und Vaterland"
in S i l b e r

Oberst	Serpokrilow, Juri Semjonowitsch 09.07.25	Höherer Verbindungsoff: BV Magdeburg
Oberst	Trischin, Boris Iwanowitsch 09.07.39	Höherer Verbindungsoff: OTS/Abt.26/Abt.N/Abt. 1 u. HA III

Oberst	Smirnow, Wladimir Alexandrowitsch 05.12.33	Höherer Verbindungsoffz BV Neubrandenburg
Oberst	Korotejew, Nikolai Petrowitsch 17.05.34	Stellv. d. Leiters d. Sekretariats

"Verdienstmedaille der Nationalen Volksarmee"
in B r o n z e

Oberst	Fatejew, Albert Georgijewitsch 30.04.27	Leiter einer Gruppe
Oberstleutnant	Leschow, Lew Iwanowitsch 02.11.38	Leiter einer Gruppe
Major	Putin, Wladimir Wladimirowitsch 07.10.52	Mitarbeiter der Vertretu
Oberfähnrich	Kasannik, Michail Anatoljewitsch 27.07.50	Kommandeur d. Zuges 105. Rigaer Rotbanner- regiments

"Medaille der Waffenbrüderschaft"
in G o l d

Oberst	Ditschenko, Jewgeni Nikolajewitsch 09.10.28	Höherer Verbindungsoffz. HV A
Oberst	Lossew, Alexander Fjodorowitsch 25.08.26	Sekretär des Parteikomite
Oberstleutnant	Juditschew, Ilja Grigorjewitsch 01.08.46	Stellv. d. Kommandeurs d. 105. Rigaer Rotbannerregi- ments
Oberstleutnant	Latischew, Wjatscheslaw Leonidowitsch 3.01.38	Leiter einer Gruppe
Oberstleutnant	Prinzipalow, Alexander Kirillowitsch 03.05.47	Höherer Verbindungsoffz. HV A

[Translated by author]

Council of Ministers

Berlin, February 8th 1988

The German Democratic Republic
Ministry for State Security
the Minister

Order No. K 114/88

In recognition and appreciation of their merits in the struggle for the preservation of peace, the protection of our socialist homeland and their proletarian internationalism, supported by many years of fraternal cooperation between the GDR and the chekists security organs of the USSR in the struggle against the common enemy

I decorate

on the occasion of the 38th anniversary the formation of the Ministry of State Security, the following members of the Soviet security organs:

with the

BStU Zentralarchiv MfS- HA KuSch Nr. 186 page 000197

“Medal of Merit of the National People's Army” in bronze

Major

Putin,

Employee of the representation

Vladimir Vladimirovich

October 7th 1952

6.13 Birthdays of Soviet Comrades . *Geburtstage der sowjetischen Genossen einschliesslich Ehepartner* . Dresden: BStU, Archiv der Außenstelle Dresden, MfS BV Dresden, Abt.II, Nr. 10448, p.000001, December 22, 1988.

This Stasi file, a list of “birthdays of Soviet comrades including their spouses” lists Putin as a member of the GDR level party committee, more importantly as the “party secretary” [Parteisekretär] in Dresden, an unusually prominent position to hold for someone who, according to his own statements, had only routine duties while stationed in East Germany (Birthdays of Soviet Comrades December 22, 1988). Since Putin was both a serving KGB officer, the head of the Party unit within his office in Dresden, and a member of the executive committee of the Soviet party unit in the GDR, it would have been more than appropriate for him to meet with Modrow.

Dresden, 22. Dezember 1988

BSU

099601

Generalmajor SCHIROKOW Wladimir Alexandrowitsch
SCHIROKOWA Nina Michailowna

26. 3. 1933
23. 12. 1933

OSL TSCHEBLUKOW Viktor Viktorowitsch
TSCHEBLUKOWA Tatjana Michailowna

2. 10. 1951
2. 3. 1955

OSL PUTIN Wladimir Wladimirowitsch (Parteisekretär)
PUTINA Ludmila Alexandrowna

7. 10. 1952
6. 1. 1958

Major SOLOWJOW Wladimir Michailowitsch
SOLOWJOWA Ludmila Dmitrijewna

8. 4. 1954
21. 3. 1958

Major SC-KOLOW Jewgeni Michailowitsch
 SC-KOLONA Galina Alexejewna

31. 8. 1955
26. 1. 1954

Major BRAGIN Wladimir Ignatjewitsch
BRAGINA Ludmila Pawlowna

20. 10. 1953
1. 2. 1953

Oberst ANDROSOW Waleri Dmitrijewitsch (Leiter)
 ANDROSOWA Natalia Leonidowna

15. 5. 1944
4. 9. 1948

Oberst POLIKARPOV Wladimir Fjodorowitsch (Stellvert.)
POLIKARPOVA Nina Iwanowna

6. 8. 1944
31. 7. 1946

Major NASIMOW Alexander Wasiljewitsch (Parteisekretär)
NASIMOWA Lidiya Michailowna

1. 1. 1953
17. 9. 1952

Major NAGISCHKIN Boris Wasiljewitsch (Koordinator)
NAGISCHKINA Galina Alexandrowna

16. 6. 1939
30. 12. 1938

Generalltn. TSCHERNYSCHOW Anatoli Kuprijanowitsch
TSCHERNYSCHOWA Nina Iwanowna

29. 5. 1938
21. 11. 1935

Oberst WODOPJANOW Anatoli Dmitrijewitsch
WODOPJANOWA Sinaida Grigorjewna

4. 4. 1942
8. 10. 1948

28.01.31

01.05.37

[Translated by author]

Dresden, December 22, 1988

Birthdays of the Soviet comrades including spouses

Major General	SHIROKOV Vladimir Aleksandrovich	March 26 1933
	SHIROKOVA Nina Mikhaylovna	December 23 1933

Employees of the liaison officers

Lt. Col.	CHEBLUKOV Victor Viktorovich	October 02 1951
	CHEBLUKOVA Tatyana Mikhaylovna	March 02 1955
Lt. Col.	PUTIN Vladimir Vladimirovich (Party secretary)	October 07 1952
	PUTINA Lyudmila Aleksandrovna	January 06 1958
Major	SOLOVYOV Vladimir Mikhaylovich	April 08 1954
	SOLOVYOVA Lyudmila Dmitriyevna	March 21 1958
Major	SHKOLOV Yevgeniy Mikhaylovich	August 31 1955
	SHKOLOVA Galina Alekseevna	January 26 1954
Major	BRAGIN Vladimir Ignatyevich	October 20 1953
	BRAGINA Lyudmilla Pavlovna	February 01 1953

Soviet military defense in the 1st Guards Tank Army

Colonel	ANDROSOV Valeriy Dmitriyevich (Leader)	May 15 1944
	ANDROSOVA Nataliya Leonidrovna	September 04 1948
Colonel	POLIKARPOV Vladimir Fyodorovich (Deputy)	August 06 1944
	POLIKARPOVA Nina Ivanova	July 31 1946
Major	NASIMOVA Alexander Vasiliyevich (Party secretary)	January 01 1953
	NASIMOVA Lidiya Mikhaylovna	September 17 1952
Major	NAGICHKIN Boris Vasiliyevich (Coordinator)	June 16 1939
	NAGICHKINA Galina Aleksandrovna	December 30 1938

Commander of the 1st Guards Tank Army

Lt. Gen. CHERNYCHKOV Anatoliy Kupriyanovich
 CHERNYCHKOVA Nina Ivanovna

May 29 1938
November 21 1935

Head of the Political Department and member of the Military Council

Colonel VODOPYANOV Anatoliy Dmitriyevich
 VODOPYANOVASinaida Grigoryevna
 October 08 1948

April 04 1942

6.14 Letter from Major General Horst Böhm to Lt. Col. Androsov, about meetings of Soviet officers in a bar, Dresden. *Brief von Generalmajor Horst Böhm an Oberst Androsov bezüglich der Treffen sowjetischer Offiziere in einer Bar in Dresden.* BStU MfS BV Dresden 1.Stellvertr. d.LTR. 3, pp. 000081-000082. January 19, 1989.

This document is a letter written by Major General Böhm to Lt. Col.

Androsov regarding the irregular meetings of Soviet officers and their wives in a bar in Dresden. Even though Putin is not specifically mentioned, he was stationed in Dresden during that time, his name might be redacted and he might have been one of the "six Soviet officers" who had met irregularly for "one to two hours" since "October 1987" in a bar in Dresden sometimes with and sometimes without their wives. These meetings were interpreted as suspicious and could have been seen as the preparation for a possible coup against the East German leadership.

Bezirksverwaltung
für Staatssicherheit
Leiter

Dresden, 19. Januar 1989

71 /89

BSU
000081

Sowjetische Militärabwehr
bei der 1. Gardepanzerarmee
Leiter
Genossen Oberst Androssow

Entsprechend Ihrer Information vom 11. 11. 1988 zu dem Gaststätteninhaber

[REDACTED]
8056 Dresden, [REDACTED]

habe ich Überprüfungen dazu eingeleitet. Dabei wurde bekannt, daß sich seit Oktober 1987 in der Gaststätte 6 sowjetische Offiziere - zum Teil mit ihren Ehefrauen - unregelmäßig für 1 bis 2 Stunden aufhalten. Ihr Auftreten ist ordentlich und angenehm. Kontakte mit DDR-Bürgern wurden bisher nicht festgestellt.

Aus Bemerkungen ihrerseits war zu entnehmen, daß sie im Bereich der Objekte Dr.-Kurt-Fischer-Allee stationiert sind und mit Kfz-Technik zu tun haben. Zur Personenbeschreibung wurde folgendes bekannt:

...

Kopie BSU
AD a

Seit 1986 erhielt der [REDACTED] drei Privatreisen in die BRD genehmigt anlässlich runder Geburtstage der Verwandtschaft.

Weitere operativ zu beachtende Hinweise zu den Angehörigen der GSSD sowie zu dem Gaststätteninhaber liegen nicht vor.

Ich habe den Leiter der Abteilung II beauftragt, zu den vorliegenden Hinweisen inoffiziell weitere Informationen zu erarbeiten und bitte Sie, wenn erforderlich, mir dazu Ihren Informationsbedarf zu übergeben.

Opz. Böhm im Original
Generalmajor

[Translated by author]

District Administration
of State Security
head

Dresden, 19 January 1989

Soviet military defense
in the first Guards Tank Army
head
comrade Oberst Androsow

According to your information, 11.11.1988 on the bar owner

-----,-----

8056 Dresden, -----

I launched investigations regarding that matter.

Thereby it was discovered that since October 1987 6 Soviet officers-some accompanied by their wives- met irregularly for 1 to 2 hours in a bar. Their appearance is neat and pleasant. Contacts with GDR citizens have not been determined yet.

From observations it was found that they are stationed in the area of objects Dr.-Kurt-Fischer Avenue⁴² and have to do with automotive technology. As for the persons the following description was known:

- [Redacted name]
- [redacted name]
- [redacted name]
- [redacted name]
- [redacted name]
- [redacted name]

Since 1986, -----got three private travels approved in the Federal Republic of Germany on the occasion of birthdays of relatives.

⁴² Dresden, Dr.-Kurt-Fischer-Allee (Stauffenbergallee), House of Soviet officers. Leisure activities between Soviet officers and officers of the People's Police (CIP)

Other operational instructions about the members of the GSFG as well as the restaurant owner are not available.

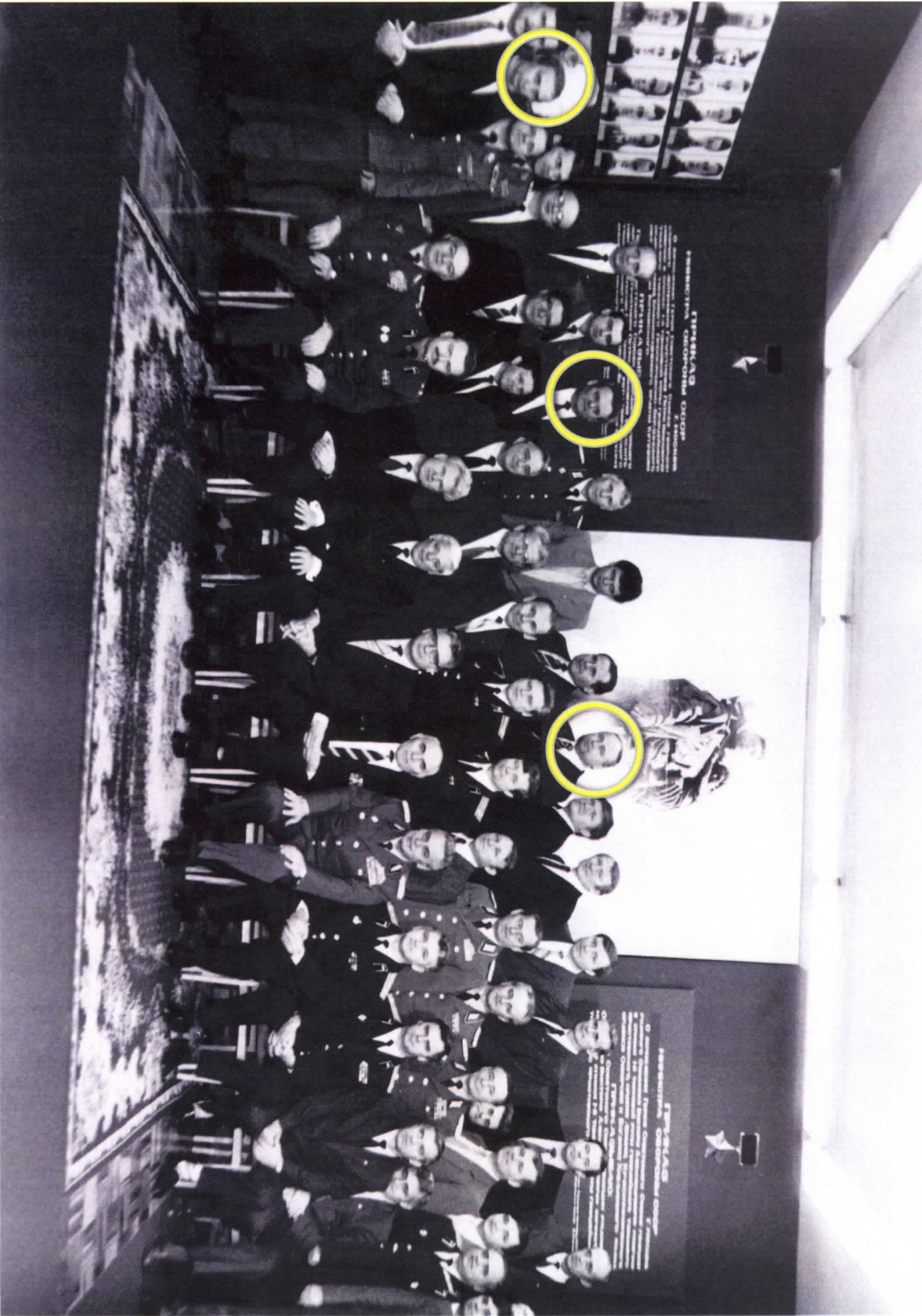
I have commissioned the head of Division II to unofficially work out more details, and I would like to ask you, if it is required, to please hand me over your information needs.

6.15 Letter from Major General Böhm to service units. *Brief von Generalmajor Böhm an die Leiter der Dienstseinheiten bezüglich eines Bildes des gemeinsamen Besuches des Museums der 1.Gardepanzerarmee.* Dresden: BStU Archiv der Aussenstelle Dresden MfS BV Dresden AKG Nr. 10852, p.000001, January 24, 1989.

This document describes the occasion during which the picture was taken. It can therefore serve as evidence that Warnig and Putin met when they were both stationed in Dresden, East Germany, even though both men claim to have never met during their time working for the Stasi and KGB respectively.

Author's note on the archival picture on the next page:

- Putin is the second person on the far left of the picture
- Warnig is the third person from the left in the back row
- Chemezov could be the 7th from the left in the top row



BStU-Kopie

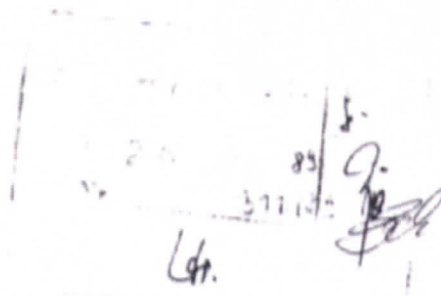
Vladimir Putin, Operation LUCH and Matthias Warmig



Bezirksverwaltung
für Staatssicherheit
Leiter

Dresden, 24. 1. 1989
bö-ne /BdL/76 /1989

Dienstleistungen
Leiter



Zur Erinnerung an den 71. Jahrestag der Bildung
der Tschechoslowakei und den 70. Jahrestag der Bildung der
sowjetischen Militärabwehrorgane übersende ich
Ihnen ein Foto über den gemeinsamen Besuch des
Museums der 1. Gardepanzerarmee.

Anlage
1 Foto

gez. Böhm
Generalmajor

F. d. R.
Leiter Abt. BdL

Friedrich
Friedrich
Oberstleutnant

[Translated by author]

District Administration
of State Security
Head

Dresden, 24 January 1989
bö-ne/BdL/76/1989

Head of service units

To commemorate the 71th Anniversary of the formation of the Cheka and the 70th Anniversary of the formation of the Soviet military defense organs, I am sending you a photo of the joint visit of the museum of the 1st Guards Tank Army.

Appendix

1 photo

F.d.R.

Leiter Abt. BdL

subscribed

Böhm

major general

Biesold

Lieutenant Colonel

6.16 Letter from Major Kultsch to Colonel Anders. *Information zur Aussprache mit dem Genossen Maxim Samarin der sowjetischen Militäraufklärung, Dienststelle Dresden. Kamenz: BStU MfS BV Dresden 1. Stellvertr. D. LTR3 page 000054, March 15, 1989.*

In a letter dating from March 15th 1989 written in Kamenz, a small town in today's eastern Saxony, East Germany, located about 40 km northeast of Dresden and about 30 km northwest of Bautzen, Major Kultsch, addresses Col. Gen. Anders in his function as the deputy head of the district office in Dresden to inform the latter about recruitment procedures of ex-national people's police (NVA) members undertaken by Soviet Intelligence officers in Dresden, Kamenz and the closer region. In the letter he reports to Dresden the operational measures he has undertaken and interviews he has conducted in order to find out about the secret recruitment process by the Soviets that was not agreed upon in 1978, for example, between Yuri Andropov and Stasi chief Erich Mielke.

Bezirksverwaltung
für Staatssicherheit Dresden
Kreisdienststelle Kamenz
Leiter

Kamenz, den 15. 03. 1989
hu-scha 587/89

BSU
000054

Bezirksverwaltung
für Staatssicherheit Dresden
1. Stellvertreter des Leiters
der Bezirksverwaltung
Gen. Oberst Anders

1.6 MRZ 1989

geb. : 642
weiter :

Dresden

I n f o r m a t i o n
zur Aussprache mit dem Genossen Maxim Samarin der sowjetischen
Militäraufklärung, Dienststelle Dresden

Entsprechend der Festlegung wurde am heutigen Tag mit dem Genossen der sowjetischen Militäraufklärung, der im WKK Kamenz unberechtigt recherchiert und DDR-Bürger einberufen läßt, ein Gespräch zum Sachverhalt geführt.

Der Genosse stellte sich mir gegenüber als Maxim Samarin, Mitarbeiter der sowjetischen Militäraufklärung in Dresden, vor. Ich habe ihn sachlich und bestimmt darauf aufmerksam gemacht, daß entsprechend vorhandener Bestimmungen jegliche Aktivitäten zwischen seinem und meinem Vorgesetzten der Bezirksverwaltung abzustimmen sind. Das bedeutet, daß sich sein Vorgesetzter an den Leiter der Bezirksverwaltung, Gen. Generalmajor Böhm, bzw. an seinen 1. Stellvertreter, Gen. Oberst Anders, wenden muß.

Da ich von meiner vorgesetzten Dienststelle keinerlei Informationen über seinen Einsatz im Kreis habe, muß ich annehmen, daß diese Abstimmung nicht erfolgte.

Gen. Samarin trat höflich und einsichtig auf und erklärte, seinen Vorgesetzten über das Gespräch zu informieren, um die notwendigen Schritte einzuleiten.

Zirka 1 Stunde nach dem Gespräch informierte mich der bestätigte Mitarbeiter der sowjetischen Militäraufklärung Dresden, Gen. Sergej Petrov, daß es sich bei Genossen Samarin tatsächlich um einen Mitarbeiter seiner Dienststelle handle, und er zukünftig jegliche Aktivitäten im Kreis Kamenz unterlasse.

Ich habe den Leiter des WKK Kamenz darüber informiert, bis auf Widerruf Genossen der sowjetischen Militäraufklärung, die bei ihm vorstellig werden, nur nach Bestätigung durch den Leiter der Kreisdienststelle Informationen zu übergeben.

[Translated by author]

District Administration
State Security Dresden
District office Kamenz
head

Kamenz, 15 March 1989
hu-sha 587-89

district Administration
State Security Dresden
1 Deputy Head
the district administration
Gen. Colonel Anders

Dresden

information

On the discussion with Comrade Maxim Samarin of the Soviet military intelligence,
department Dresden

According to the definition a conversation on the issue has been held today with the comrades of the Soviet military intelligence, who researched unjustified in WKK Kamenz and who processes GDR citizens.

The comrade introduced himself to me as Maxim Samarin, military worker of Soviet military intelligence in Dresden. I determinantly and objectively pointed out to him that any activity between his and my supervisor of the district administration must be agreed in accordance with existing regulations. This means that his superior must apply himself to the head of the district administration, Gen.. Major General Böhm, or to the first Deputy Gen. Colonel Anders,

Since I have no information on its use in the circle of my superiors authorized service station, I have to assume that this meeting did not take place.

Gen. Samarin presented himself reasonable and polite and told me to inform his superiors about the conversation so that the necessary steps can be taken.

About 1 hour after the conversation I was informed by the military certified worker of Soviet military intelligence Dresden Gen.. Sergei Petrov, that there is actually an employee with the name of comrade Samarin in his authorized service station and that the latter would refrain from any activities in the district Kamenz in the future.

I have informed the head of the CHP Kamenz to pass information on to comrades of the Soviet military intelligence who present themselves to him, only after confirmation by the head of the district office information, until revoked.

i.V. Kultsch

Ma

6. 17 Letter from Major General Böhm to Major General Shirokov, about the illegal recruitment among NVA reservist with radio training by an employee of the Soviet army Maxim Samarin, Dresden. *Brief von Generalmajor Böhm an Generalmajor Schirokow bezüglich der Anwerbung von NVA Reservisten mit Funkausbildung bei Maxim Samarin, in Dresden.* BStU MfS BV Dresden 1.Stellvertr. d.LTR. 3, pp. 000048-000049. March 29, 1989.

Given the previous documents concerning the secret recruitment of East Germans by KGB officials, this document is an effort by Major General Böhm, on March 29th 1989 addressing major Maj. Gen. Yurii Shirokov (who was Putin's superior at that time) to stop these recruitment attempts of East German citizens [Anwerbungsversuche] undertaken by the KGB.

Bezirksverwaltung
für Staatssicherheit
Leiter

Dresden, 29. März 1989

29.3.89

BSU
000048

Verbindungsoffizier
Genossen Generalmajor Schirokow

Werter Genosse Schirokow!

In den letzten Wochen erhielt ich mehrere Informationen über Maßnahmen von Mitarbeitern der Militäraufklärung der Sowjetarmee, welche die Konspiration gefährden, aber keine eine Abstimmung mit unserem Organ sowie eine rechtzeitige Speicherüberprüfung erfordern.

Bekannt ist, daß seit längerer Zeit im Wehrkreiskommando Kamenz der Mitarbeiter der Militäraufklärung der Sowjetarmee Maxim S a m a r i n aus dem Kreis der NVA-Reservisten Personen auswählt, welche Funkausbildung besitzen, mit diesen Gespräche führt und kurzzeitige Einberufungen veranlaßt. Bei seinem Vorgesetzten soll es sich um Sergej P e t r o w handeln.

Dem Leiter der Kreisdienststelle Kamenz liegen Informationen vor, wonach es unter Funktionären der betreffenden Betriebe kein Verständnis und Erstaunen gibt, daß im Gegensatz zu anderen Reservisten die Betreffenden mehrfach und nur für kurze Zeiträume einberufen werden.

Auch im Wehrkreiskommando Dresden wurde eine derartige Arbeitsweise praktiziert. So wurde der ehemalige NVA-Angehörige [REDACTED], [REDACTED] - geb. [REDACTED] - im Januar 1989 zum WKK bestellt, wo er zu einem Gespräch mit zwei sowjetischen Genossen in Zivil weitervermittelt wurde. Inhaltlich ging es in diesem Gespräch um eine spezielle Funkausbildung sowie um einen kurzzeitigen Einsatz jeweils einmal im Quartal. Ihm wurde zugesichert, dafür keinen Reservistendienst mehr leisten zu müssen. Er erhielt den Auftrag, einen Lebenslauf zu schreiben und sich bei dem Mitarbeiter V i c t o r Telefon 51059 zu melden.

Bei [REDACTED] handelt es sich um einen ehemaligen NVA-Angehörigen, welcher seit 1986 aktiv in der Abteilung XII erfaßt ist. Bisher gab es zu ihm keine Überprüfungen durch andere Dienst-einheiten, erst am 3. 3. 1989 erfolgte durch die Dienststelle der Sowjetischen Militärabwehr bei der 1. Gardepanzerarmee, Genossen Major Nagischkin, eine Überprüfung mit Form 10 in der Abteilung XII.

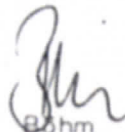
BStU

000049

2

Sollte es sich dabei um eine vorgesehene Erfassung im Zusammenhang der o. g. Kontaktaufnahme handeln, bitte ich, daß keine weiteren Maßnahmen und Gespräche mehr erfolgen, da die Person für uns aktiv erfaßt ist.

Auf Grund dieser Sachverhalte bitte ich Sie, mit dem zuständigen Leiter der Militäraufklärung der Sowjetarmee eine Auswertung vorzunehmen und entsprechende Festlegungen zu treffen. Es ist nicht möglich, daß DDR-Bürger als geplante Reservisten der NVA von Genossen der Militäraufklärung der Sowjetarmee zu Funkausbildungen offiziell herangezogen werden.



Bohm

Generalmajor

[Translated by author]

District Administration of State Security
head

Dresden, 29 March 1989

Liaison Officer

Comrade Major General Shirokov

Dear Comrade Shirokov!

In recent weeks, I have received information about several measures being taken by the staff of the military education of the Soviet army, which endanger the conspiracy, but require especially a consultation with our body as well as a timely examination of memory storage.

It has been known for a long time that within the district command Kamenz an employee of the military education of the Soviet Army from Maxim Samarin selects persons among the NVA reservist who possess radio training, that he leads talks with them and calls him in on a short-term notice. His superior allegedly is Sergei P e t r o w.

The head of the district office Kamenz had been informed that there is no understanding and amazement among officials of the establishments concerned and that, in contrast to other reservists, the person concerned shall be called in several times and only for short periods of time.

Even in the military district command Dresden such a procedure was practiced. Thus, the former NVA member -----, ----- born ----- was appointed in January 1989 to WKK, where he met for an interview with two Soviet comrades dressed in civilian clothes. The content covered in this conversation was a specific radio training and a short-duration use once each quarter. He was assured, not having to make any more reservists service. ----- was asked to write a resume and to report to the employee V i c t o r Phone 51059.

----- is a former NVA members and is included actively [or active] in the section XII since 1986. Previously there were no checks on him by other service units, only on 03.03.1989 a review of from 10 in the Department XII was made by the authorized service station of the Soviet military defense in the first Guards Tank Army, Comrade Major N a g i s c h k i n.

If this is a proposed acquisition in the context of the above Contacting act, I ask that no further action and discussions take place anymore because we actively acquire the person.

Based on these facts, I ask you to perform an evaluation of the relevant head of military intelligence of the Soviet army and to make appropriate determinations. It is not possible that GDR citizens are officially used as planned reservists of the NVA comrades of the military education of the Soviet army radio training.

Böhm

Major General

Author's note on this archival file:

- **NVA**= Nationale Volksarmee (DDR)
(National People's Army (GDR))
- **WKK**= Wehrkreiskommando (NVA)
(military District Command (National People's Army NVA))

6.18 Letter from Putin to Major General Böhm. *Brief von Putin an Generalmajor Böhm. Über die Neuinstallation einer Telefonverbindung für möglichen FIM.* Dresden: BStU MfS BV Dresden 1.Stellvertr. d.LTR. 3, September 07, 1989.

At first this letter might seem uneventful because it just talks about the setup of a telephone connection. But, upon closer examination, the letter appears quite insightful. “It is a letter from 1989 in which the former Dresden Stasi boss Böhm asks to get a telephone connection for a German. The German, according to Putin, is a “FIM” of the KGB, with whom he worked closely. The letter is unusual because Putin until today has not been determined to be one of the official KGB liaison officers for the Dresden Stasi in 1989. The fact that Putin could still apply directly to Böhm, leads to the conclusion that he held a prominent position, which was not known officially” (Förster 2000).

Verbindungsoffizier

Dresden, 7. 9. 1989
113 /89

U1115/2088183

U111E12872189

BStU

000004

Bezirksverwaltung
für Staatssicherheit Dresden
Leiter
Genossen Generalmajor Böhm

*ist. XIT prüfen
Was ist Sache?
T. 15.9.*

pol. Lt. VII

*mit Freitel reden
Vom Mel. gibt es Material
was noch bekannt 2.*

EiH. R

Durch uns wird als FIM aktiv der

geb. [redacted] in [redacted]
wh. Dresden,
beschäftigt im VEB Kombinat Groß-
handelswaren

genutzt, dem im März 1989 irrtümlicherweise sein Telefonan-
schluß [redacted] abgeschaltet wurde.

Unter Beachtung dessen, daß unser FIM früher Angehöriger der
DVP war und uns unterstützt, wurde durch die BDVP Dresden
bei der Deutschen Post ein Antrag gestellt, seinen Apparat
dem Stadtnetz anzuschließen. Jedoch gibt es trotzdem Pro-
bleme bei der Klärung dieses Problems.

Wir bitten Sie, wirksame Unterstützung zu leisten.

i. V.

gez. Putin

Kopie BStU

[Translated by author]

Liaison Officer

Dresden 07 September 1989
13/89

District Administration
State Security Dresden
Head
Comrade Major General Böhm

For us is active as an FIM

-----, -----

----- born in

residing in Dresden -----

employed in the VEB Kombinat Wholesale Goods

whose phone connection ----- was mistakenly switched off in March 1989.

Taking into account the fact that our earlier FIM was a member of the DVP and supports us, an application was submitted by the BDVP Dresden at Deutsche Post, to connect his apparatus to the city grid. However, there are still problems in resolving this problem.

We ask you to provide effective support.

P.P.

Signed Putin

Author's note on this archival file:

- **FIM**-Führungs- Inoffizieller Mitarbeiter [IM]
(Leading Unofficial Informer. An FIM knows the identity of other informers and leads them)
- **BDVP**- Bezirksbehörde der Deutschen Volkspolizei (DDR)
(District Authority of the German People's Police (GDR))
- **DVP**- Deutsche Volkspolizei (DDR)
(German People's Police (GDR))
- **PP**- per procuracionem (by agency)
- **VEB**- Volkseigener Betrieb (DDR)
(State-owned enterprise (GDR) - state-run, in contrast to private and cooperative farms)

6.19 Warnig's National People's Army medal of merit in gold. Warnig's Verdienstmedaille der Nationalen Volksarmee in Gold. Berlin: BStU, Zentralarchiv, MfS-HA, KuSch, Nr. 891, p.000001, October 07, 1989.

This document is evidence that Matthias Warnig received the National People's Army medal of merit in gold [Medaille für treue Dienste in der Nationalen Volksarmee in gold] delivered by army general Mielke on October 7th 1989 in Berlin. It further shows that Warnig was known to be good at what he was doing which was mainly to recruit spies for the Stasi.

Berlin, 7. Oktober 1989



B e f e h l Nr. K 3158/89

Für vorbildliche Leistungen und Verdienste, hohe Einsatzbereitschaft und Disziplin bei der Durchführung übertragener vielfältiger spezifischer Aufgaben zur Stärkung und Festigung unseres Arbeiter-und-Bauern-Staates

z e i c h n e i c h

aus Anlaß des 40. Jahrestages der Gründung der Deutschen Demokratischen Republik die in den Anlagen aufgeführten Angehörigen, Offiziere im besonderen Einsatz und hauptamtlichen inoffiziellen Mitarbeiter des Ministeriums für Staatssicherheit aus:

"Verdienstmedaille der Nationalen Volksarmee"

"Verdienstmedaille der Grenztruppen der DDR"

"Medaille der Waffenbrüderschaft"

"Medaille für vorbildlichen Grenzdienst"

ET "Aktivist der sozialistischen Arbeit"

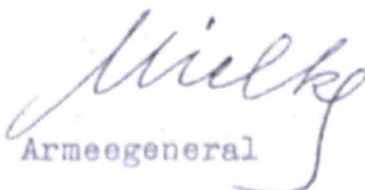
Ehrengeschenk für 25 Jahre treue Dienste

Ehrenurkunde für langjährige treue
Pflichterfüllung

"Medaille für treue Dienste in der NVA"

Beförderungen/Ernennungen

Prämierungen


Armeegeneral

nlage

5 Blatt

6 Blatt Beschlüsse

ef.: 2 Exemplare

Medaille für treue Dienste in der Nationalen Volksarmee
in G o l d für 20jährige Zugehörigkeit

1.	Major	[REDACTED]	[REDACTED]	I
2.	Major	[REDACTED]	[REDACTED]	III
3.	Major	[REDACTED]	[REDACTED]	III
4.	Major	[REDACTED]	[REDACTED]	III
5.	Major	[REDACTED]	[REDACTED]	III
6.	Oberstleutnant	[REDACTED]	[REDACTED]	V
7.	Major	[REDACTED]	[REDACTED]	VI
8.	Major	[REDACTED]	[REDACTED]	VII
9.	Major	[REDACTED]	[REDACTED]	IX
10.	Major	[REDACTED]	[REDACTED]	IX
11.	Oberstleutnant	[REDACTED]	[REDACTED]	XI
12.	Major	[REDACTED]	[REDACTED]	XI

in G o l d

1.	Hauptmann	[REDACTED]	[REDACTED]	SWT/AG 1
2.	Hauptmann	Warnig, Matthias	453/86	SWT/AG 1
3.	Hauptmann	[REDACTED]	[REDACTED]	III
4.	Major	[REDACTED]	[REDACTED]	III
5.	Hauptmann	[REDACTED]	[REDACTED]	III
6.	Oberleutnant	[REDACTED]	[REDACTED]	III
7.	Hauptmann	[REDACTED]	[REDACTED]	V
8.	Unterleutnant	[REDACTED]	[REDACTED]	IX
9.	Major	[REDACTED]	[REDACTED]	IX
10.	Hauptmann	[REDACTED]	[REDACTED]	IX
11.	Hauptmann	[REDACTED]	[REDACTED]	IX
12.	Major	[REDACTED]	[REDACTED]	IX
13.	Major	[REDACTED]	[REDACTED]	IX
14.	Hauptmann	[REDACTED]	[REDACTED]	X
15.	Major	[REDACTED]	[REDACTED]	XI
16.	Hauptmann	[REDACTED]	[REDACTED]	XVI

[Translated by author]

Council of Ministers

Berlin, 07 October 1989

The German Democratic Republic
Ministry for State Security
the Minister

Order No. K 5447/84

For exemplary leadership and services, high level of commitment and discipline in carrying out a variety of specific tasks delegated to the strengthening and consolidation of our workers' and peasants' state

I decorate

On the occasion of the 40th Anniversary of the founding of the German Democratic Republic, the members listed in the Appendices, officers on special assignment and full unofficial employee of the Ministry of State Security:

With the:

"Medal of Merit of the National People's Army"

"Verdienstmedaile the border troops of the GDR"

"The medal brothers in arms"

"Medal for Exemplary Service at the Border"

ET "activist of Socialist Labor"

Honor gift for 25 years of faithful service

Certificate of honor for many years faithful performance of duty

"Medal for faithful service in the NVA"

Promotion / appointment

awards

Army General

Mielke

Appendix

85 sheets

16 sheets decisions

Found: 2 copies

1. 1 Ex HA Kus / AKG
2. 2 Ex HA Kus / Secr, AK award being

BStU, Zentralarchiv, MfS-HA, KuSch, Nr. 891, 000038

in gold

2. Captain
SWT/AG 1

Warnig, Matthias

453/86

Documents translated from the German book “Der Deutschland Clan das skrupellose Netzwerk aus Politikern, Top-Managern und Justiz by Jürgen Roth:

6.20 Putin’s power of attorney in voting on SPAG shares given to Dr. Vladimir Smirnov, 17 December 1994

The interview from May 24th 2003 with the CEO Mr. Reese conducted by Mr. Rudolf Knappe for the *Darmstädter Echo* was printed in the 2002 annual report of SPAG and shed light upon Putin’s position on the Advisory Board of SPAG. When asked whether the SPAG can still count on the Kremlin’s backup Reese replied jokingly, “yes, we talk every day and on a daily basis he brings me a small suitcase with fresh funds over” (Reese 2003, 6). Reese pressed the fact that “Mr. Putin was an Advisor in SPAG, as he probably was also in several other firms” (Reese 2003, 6). According to Reese, “this [position] resulted from the time when Putin was deputy mayor of the city of St. Petersburg and held a kind of Investor Relations Manager position for International Relations of St. Petersburg” (Reese 2003, 6). No matter whether he was a member of the supervisory board or not, Putin is known to have been a member of the advisory board of SPAG and it was in that function that Putin gave his power of attorney in voting rights on SPAG to Dr. Vladimir Smirnov in 1994, therefore, in SPAG’s early days.

As for Putin, he never tried to cover up his involvement with SPAG, it rather was of a public nature. It is hard to say whether his connection with SPAG helped him personally, but leaving his personal benefit to the side for a moment, SPAG greatly benefited from his connections and while his name was being used during official meetings of the company especially after Putin became president. Therefore,

SPAG can be allegedly seen as a German-Russian joint-venture that can personify German-Russian relations on an economical level in the sense that it shows the importance of connections to the Russian president Putin for a German firm with interest in Russia, as well as a place for Putin to be linked with Germany and to maybe also offer him or if not specifically him his friends a place to launder money and invest that money in the German stock market.

Vladimir Puun

Stellvertreter **des** Oberbürgermeisters der
Stadt St.Petersburg und
Vorsitzender des Komitees
(Ur Außenkornaloe
des tvlagutrates der Stadt St.Petersburg

GUS - St.Petersburg

VOLLMACHT

Hiermit beauftragen wir Herrn Dr. Vladimir Smirnow, geschäftsansässig: eh. Inform-
Future, Tarnbowskaja Str. 12, St. Petersburg, in unserer Abwesenheit die Stimmrechte
an unseren 200 Aktien der St. Petersburg Immobilien und Beteiligungen AG (SPAG)
im **Wen von nominal DM 50,-- pro Aktien** auf den Hauptversammlungen
auszuüben.

Diese Vollmacht gilt uneingeschränkt, bis Auf Widerruf.

[Translated by author]

(Roth, Gangster aus dem Osten 2003)

Vladimir Putin

Deputy Mayor

The city of St. Petersburg and

Chairman of the Committee

For external contacts

Magistrates of the city of St. Petersburg

GUS- St. Petersburg

POWER OF ATTORNEY

We hereby appoint Dr. Vladimir Smirnov, businesslike: c / o Inform Future, Tambovskaya street 12, St. Petersburg, in our absence, to exercise our voting rights on our 200 shares of St. Petersburg real estate and Investments joint-stock company (SPAG) in nominal value of DM 50, - per share at general meetings.

This power of attorney is valid up to its revocation.

St. Petersburg, 17 December 1994

6.21 Controversial dossier of the Federal Intelligence Service of the Federal Chancellery, 08 April 1999

According to Reinhard Hock (38), with whom I conducted an electronic interview via email about SPAG, “SPAG still exists today, but only as an empty corporate shell and sp-ag.de does no longer function as the company’s website anymore. The shares are currently listed on the Stuttgarter Stock Exchange. Nowadays it is called the BAFAG Berliner Allgemeine Finanz Aktiengesellschaft. Overall, the whole situation about SPAG appears to be strange: In November 2011 the company was removed, however, a new Board was appointed in February 2012.” SPAG is currently operating without business activities. Reinhard Hock (38) lives in Trossingen (Baden-Württemberg, Germany) and is a banker, stockbroker, business administration (GA) and has been earning his money for several years now freelancing as "a financial journalist" reporting with a focus on general meetings of companies.

SPAG’s post box is now located in the Leibnizstraße 56 in 10629 Berlin, even though the shares are still traded at the Stuttgart stock exchange and the company’s location is listed as Darmstadt. The online presence of SPAG also remains questionable as many informative and important numbers for possible buyers of the shares are “not available”. What is known however, is that 7 percent of the shares are owned by SPAG itself and the free float number is 74,93%, which is very important as it indicates that someone owns the so- called blocking minority [Sperrminorität]. A blocking minority describes a situation in which “a minority group of shareholders due to legal or statutory quorums can prevent certain decisions. Thus, the blocking

minority of 25 percent of the capital and a voice of the decision to prevent the amendment (§ 179 German Stock Corporation Act II, p 1)” (Berwanger n.d.). In the case of SPAG the blocking minority is owned by JURECON process cost financing AG [JURECON Prozesskostenfinanzierung AG]. Therefore a company that has quite a say in the present affairs of SPAG, however as dubiously advertised and enlisted online as SPAG so that further information on JURECON could not be obtained. In a letter that dates from August 3rd 2009, the board of SPAG St. Petersburg real estate holding company (Darmstadt) announced that according to § 20 German Stock Corporation Act, the process cost financing AG JURECON, Berlin, has provided them with a letter that dates from July 25th 2009 pursuant to § 20 para 1 AktG that a notifiable direct participation of more than a quarter of the share on the SPAG St. Petersburg real estate und Beteiligungs AG exists.

Bereits 1995 hatte Rudolf RITTER den Verkauf eines Anteils in Höhe von 22,5 Mio. US-\$ der IBR an den OCHOA-Clan vermittelt. Damit ergab sich für diesen einerseits die Möglichkeit, die Bank zu Geldwäscherzwecken zu nutzen, und andererseits ein guter Zugang zur Anlage der Gelder am internationalen Finanzmarkt.

Zwischen der IBR und der UNION BANK OF SWITZERLAND (UBS) besteht eine Korrespondenzbankenverbindung. So hat die IBR 1996 zwei Konten bei der UBS eingerichtet, über die zwischen März 1996 und Juli 1996 35 Mio. US-\$ der OCHOA-Familie gelaufen sein sollen.¹⁸ Eine gleiche Verbindung existiert zwischen der IBR und der Union Bancaire Privée (UBP) in Genf.

Zwischenzeitlich wurde bekannt, daß diese Bank vom organisierten Verbrechen auch zur Anlage bereits gewaschenen Geldes genutzt wird. Einem nachrichtendienstlichen Hinweis zufolge transferieren russische Kriminelle über dieses Finanzinstitut die Mittel zum Erwerb von Immobilien in Rußland. Auch an diesem Transfer verdient RITTER mit. Er ist Mehrheitsaktionär der deutsch-russischen ST. PETERSBURGER IMMOBILIEN- UND BETEILIGUNGS-AG (SP AG), über die die Immobilienkäufe abgewickelt werden sollen.¹⁹

Ein weiterer Kunde der Rechtsanwälte RITTER und HEEB dürfte das mexikanische Drogenkartell von JUAREZ sein. Einem nachrichtendienstlichen Hinweis zufolge sollen sowohl die Familie des 1997 unter mysteriösen Umständen verstorbenen Kartellchefs, als auch seine - hier nicht bekannten - Nachfolger in der Kartellführung Kontakte zu HEEB und RITTER unterhalten.

¹⁸ Unregelmäßigkeiten in der Geschäftspolitik der IBR veranlaßte den OCHOA-Clan im September 1997, seine sämtlichen Guthaben bei der o. g. Bank abzurufen. Seine Anteile soll er allerdings - hier vorliegenden Informationen zufolge - weiterhin behalten haben.

¹⁹ 1997 sollen HEEB und RITTER von der IBR beauftragt worden sein, über die IBR vorgewaschene, kriminell erzielte Gelder in Deutschland an der Börse zu investieren und Aktien der SP AG zu erwerben. Die SP AG ist ein 1992 gegründetes deutsch-russisches Joint-Venture mit Sitz in Frankfurt/M. Die Gesellschaft besitzt in Rußland umfangreiches Immobilienvermögen, z. B. in St. Petersburg ein Bürogebäude im Wert von 10 Mio. DM. Die Aktien werden seit Oktober 1997 im Freiverkehr an der Börse in Frankfurt/M. gehandelt. Weitere Recherchen zur SP AG sind eingeleitet.

[Translated by author]

(Roth, Gangster aus dem Osten 2003)

VS-Only for internal use

Already in 1995 Rudolf Ritter had arranged the sale of a share in the amount of U.S. \$ 22.5 million of the IBR to the OCHOA clan. As a result of that it provided him on the one hand with the possibility to use the bank for money laundering purposes, and on the other hand it provided him with a good access to the investment of funds in the international financial market.

Between the IBR and the **UNION BANK OF SWITZERLAND (UBS)** there exists a correspondent bank connection. Thus, the IBR has set up two accounts at UBS in 1996, so that between March 1996 and July 1996, U.S. \$ 35 million of the OCHOA⁴³ the family is supposed to have run on these accounts⁴⁴. A similar connection exists between the IBR and Union Bancaire Privée (UBP) in Geneva.

Meanwhile, it was announced that this bank is also used by organized crime to plant already laundered money. According to an intelligence note, Russian criminals use this financial institution to transfer the means and funds to be used to purchase real estate in Russia. RITTER also earned from this transfer. He is the majority shareholder of the German-Russian **ST. PETERSBURG REAL ESTATE AND SHARING AG (SP AG)**, which is supposed to be used for real estate purchases⁴⁵.

Another client of the lawyers **RITTER** and **HEEB** is probably the Mexican **drug cartel of Juarez**. According to an intelligence note, both the family of the cartel boss who deceased in 1997 under mysterious circumstances, as well as its- not known here- successors in the cartel leadership and maintain contacts with **HEEB** and **RITTER**.

⁴³ Ochoa Colombian crime family

⁴⁴ Irregularities in the business practice of IBR led the OCHOA clan in September 1997, to subtract all of its assets from the aforementioned bank. His shares he -according to the information available- he is supposed to have kept.

⁴⁵ In 1997 **HEEB** and **RITTER** have been commissioned by the IBR, to invest criminal money prewashed by the IBR earned in Germany at the stock market and to acquire shares of **SP AG**. The **SP AG**, founded in 1992 is a German-Russian joint venture, based in Frankfurt /M. The company owns extensive property assets in Russia, for example, in St. Petersburg, an office building worth 10 million DM. The shares are traded in the open market on the stock exchange in Frankfurt / Main since October 1997. Further investigation on the **SP AG** is initiated.

6.22 Certificate of subscription signed by Rudolph Ritter in Schaan, 19 October 1995

During the SPAG's earlier days, on February 1998, the Extraordinary General Meeting of SPAG among other things decided to change its current name "SPAG St. Petersburg real estate and investments AG" to "SPAG St. Petersburg real estate and investment corporation" and to decrease the nominal value of the shares from DM⁴⁶50 to DM-5, in decreasing the 154 500 shares with nominal value of DM 50, - (No. 000001-154500) in units 1.545 million shares with a nominal value of DM 5, - (No. 0000001-1545000). This transaction could possibly be interpreted as a reduction of the company's capital [Kapitalschnitt], which decreased the total value of the shares. A Kapitalschnitt describes, "a capital cut, a reduction of the nominal share capital of a company. This is usually done when the company suffered heavy losses, by which the share capital is consumed (in large part). The share capital is first reduced by the net loss (if this does not exceed the share capital), whereby the loss history of the company is eliminated. Then, to make this a reboot, the capital will be increased. Important to note is that "the shareholders will cost twice the money. Firstly shares are collected without compensation, and secondly the company must be supplied with new funds for the subsequent capital increase" by the shareholders (Frankfurter Allgemeine Zeitung 2013). Putin himself owned 200 claims in his power of attorney to have owned 200 shares together with Dr. Vladimir Smirnov, not many one could conclude at first.

⁴⁶ Deutsche Mark (1948-1990 as West currency and from then on up until 2002 the currency of unified Germany. In 1998, one DM was equal to about 0,57 USD

Every company in Germany is registered at the Commercial Register, in order to obtain the specific document for a specific company one has to address the competent court, in the case of the SPAG is the court of Darmstadt, SPAG is registered here under the number: HRB 9311 (Handelsregister online 2013).“As final investments the shares of Inform the Future GmbH, as well as those of the empty shell of the Znamenskaya AG were sold in 2007. In fiscal 2008, a net profit of 0.06 million euros was achieved, which resulted almost exclusively from the fixed deposit of the remaining liquid assets minus the personnel costs and other operating expenses” (WM Gruppe n.d.).

Outcomes:

The appeal for profit loss due to the charges of money laundering against the State of Hesse, SPAG has lost in the the first instance in front of the state court Wiesbaden. In total about 10 million euros were lost. Since another appeal would have cost another six-figure sum, it was waived. In addition to that, the process of money laundering against the company was finally closed shortly afterwards. And the lawsuit against the Federal Republic of Germany was dismissed on 4 March 2009 (Keppler, Lehmann GmbH & Co. KG 2013).

ICI
INTERNATIONAL CONSULTING INVESTMENT
SERVICES CORPORATION

SP AG St. Petersburg
Immobilien und Beteiligungen AG
zH Herrn Dr. Guadamillas
Benzstrasse 9
D-64546 Mörfelden

Zeichnungsschein

In der ordentlichen Hauptversammlung der Gesellschaft SP AG St. Petersburg Immobilien und Beteiligungen AG, Frankfurt am Main, wurde am 29. September 1993 beschlossen, das Grundkapital der Gesellschaft gegen Bareinlage um

bis zu DM 9'800'000 --
(in Worten: Deutsche Mark neun Millionen achthunderttausend)

durch Ausgabe von bis zu 196'000 neuen, auf den Inhaber lautenden Aktien im Nennwert von je DM 50 -- zu erhöhen. Der Ausgabebetrag der neuen Aktien beträgt DM 140 -- je Aktie im Nennbetrag, das sind 280 % des Nennbetrages. Die Einzahlungen auf die neuen Aktien sind in voller Höhe dieses Ausgabebetrages bis zum 31. Dezember 1995 in bar zu leisten.

Wir zeichnen und übernehmen hiermit nach Massgabe der oben genannten Bezugsbedingungen 10'000 Aktien zu nominal DM 50 -- zum Ausgabebetrag von DM 140 --.

Die Zeichnung wird unverbindlich, wenn die Durchführung der Erhöhung des Grundkapitals der SP AG St. Petersburg Immobilien und Beteiligungen AG nicht bis zum 30. Juni 1996 in das Handelsregister eingetragen ist.

Schaan, 19. Oktober 1995

ICI INTERNATIONAL CONSULTING
INVESTMENT SERVICES CORPORATION


Rudolf Ritter

POSTFACH 42 FL-9904 SCHAAN LIECHTENSTEIN TELEFON 0551 3321 43 TELEFAX 0551 3321 44

Beteiligung des wegen Drogengeldwäsche angeklagten
Liechtensteiner Treuhänders Ritter an der SPAG

[Translated by author]

(Roth, Gangster aus dem Osten 2003)

ICI

International Consulting Investment
Services Corporation
SP AG St. Petersburg
Real Estate and Investments Ltd.
Attn: Mr. Dr. Guadamillas
Brenstreet 9
D-64546 Mörfelden

Subscription form

At the Annual General Meeting of the SP AG, St. Petersburg Real Estate and Investments AG, Frankfurt am Main, it was decided on September 29th 1993 to increase the registered capital against cash contributions of

Up to DM 9.8000.00, -

(In words: German Mark nine million eight hundred thousand)

by issuing up to 196'000 new shares with a nominal value of each DM 50,-
denominated on the bearer of the shares The issue price of new shares is DM 140, -
per share in the nominal value; these are 280% of the principal amount. The deposit
on the new shares is supposed to be made in cash in full amount until December 31st
1995.

We draw and hereby assume in accordance with the above terms and conditions
10'000 shares with a nominal value of DM 50, - at an issue price of DM 140, -

The drawing is not binding when the implementation of the increase of the share
capital of SP AG, St. Petersburg Real Estate and Investments AG is not registered in
the Commercial Register until June 30th 1996.

Schaan, 19 October 1995

ICI International Consulting
Investment Services Corporation
Rudolf Ritter

6.23 Certificate of subscription signed by V.A. Smirnov, in Jersey, 08 March 1995

Vladimir Smirnov and Putin had had business dealings in St. Petersburg from as early as 1990. Smirnov was named as the leader of the Ozero Cooperative Dacha Communist formed in 1996 that included Putin. They had also been linked in the formation of SPAG,the St. Petersburg Real Estate Company, and Putin had served on its board until after he became President in 2000. SPAG was investigated by the German BND, Germany's intelligence arm, for laundering money into and out of Russia, including money from Columbian drug cartels.

For more information on SPAG and Putin's involvement, see Karen Dawisha's book on Putin's corrupotion, Simon & Schuster, 2014.

VS REAL ESTATE INVESTMENTS LIMITED

Telephone: (01534)506888
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Postal Address:
P.O. Box 292
Jersey JE4 8TJ

SP AG
St.Petersburg Immobilien-
und Beteiligungen AG
z.Hd. Herrn Dr. Guadamillas
Junghofstr. 9

D-60311 Frankfurt am Main

Zeichnungsschein

In der ordentlichen Hauptversammlung der SP AG, St.Petersburg Immobilien- und Beteiligungen AG, Frankfurt am Main, wurde am 29. September 1993 beschlossen, das Grundkapital der Gesellschaft gegen Bareinlage um

bis zu DM 9.800.000,-

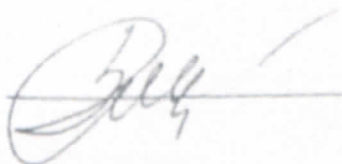
(in Worten: Deutsche Mark neun Millionen achthunderttausend)

durch Ausgabe von bis zu 196.000 neuen, auf den Inhaber lautenden Aktien im Nennwert von je DM 50,- zu erhöhen. Der Ausgabebetrag der neuen Aktien beträgt DM 110,- je Aktie im Nennbetrag, das sind 220% des Nennbetrages. Die Einzahlungen auf die neuen Aktien sind in voller Höhe dieses Ausgabebetrags bis zum 31. Dezember 1995 in bar zu leisten.

Wir zeichnen und übernehmen hiermit nach Maßgabe der oben genannten Bezugsbedingungen 16.000 Aktien zu nominal DM 50,- zum Ausgabebetrag von DM 110,-

Die Zeichnung wird unverbindlich, wenn die Durchführung der Erhöhung des Grundkapitals der SP AG, St.Petersburg Immobilien- und Beteiligungen AG nicht bis zum 30. Juni 1996 in das Handelsregister eingetragen ist.

Jersey, den 08.03.1995



V.A. Smirnov, Direktor



Beteiligung von Wladimir Smirnow über das Unternehmen VS Real Estate Investments Limited auf den Kanalinseln an der SPAG

[Translated by author]

(Roth, Gangster aus dem Osten 2003)

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P.O. Box 2925
Jersey JE 4 8TJ

SP AG
St. Petersburg real estate
And investments AG
to the attention of Mr. Dr. Guadamillas
Junghofstreet.9

D- 60311 Frankfurt am Main

Subscription form

At the Annual General Meeting of the SP AG, St. Petersburg Real Estate and Investments AG, Frankfurt am Main, it was decided on September 29th 1993 to increase the registered capital against cash contributions of

Up to DM 9.8000.00, -

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capital of SP AG, St. Petersburg Real Estate and Investments AG is not registered in
the Commercial Register until June 30th 1996.

Jersey, 08 March 1995

____ V.A. Smirnov, Director

Shares of Vladimir Smirnov through the company VS Real Estate Investments
Limited in the Channel Islands on the SPAG